

Arthur Ashkin, pinças ópticas, Bell Labs e o Prêmio Nobel

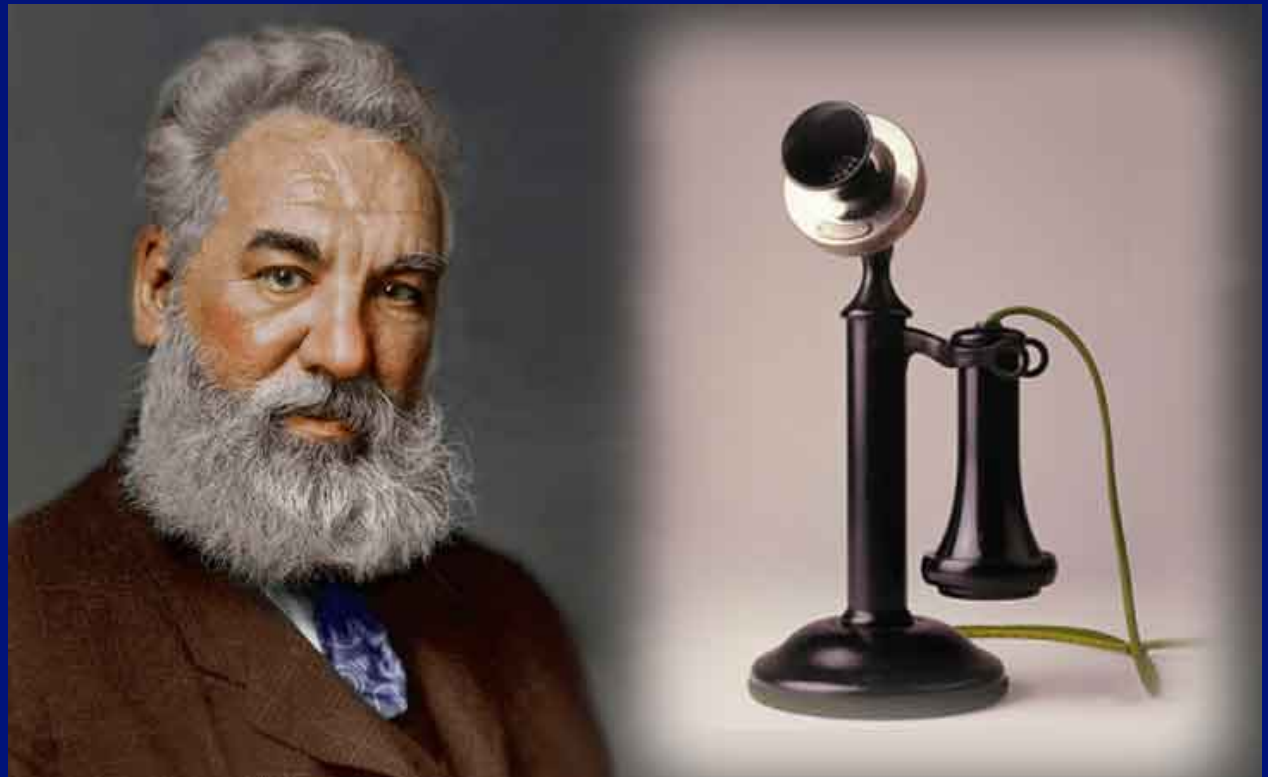
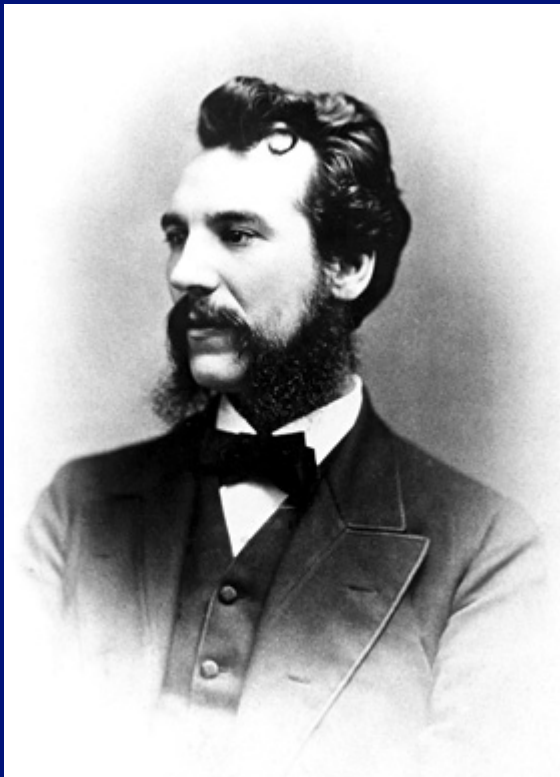
Carlos Lenz Cesar

*Department of Physics – Federal University of Ceará
UFC – Fortaleza, Ceará, Brazil*

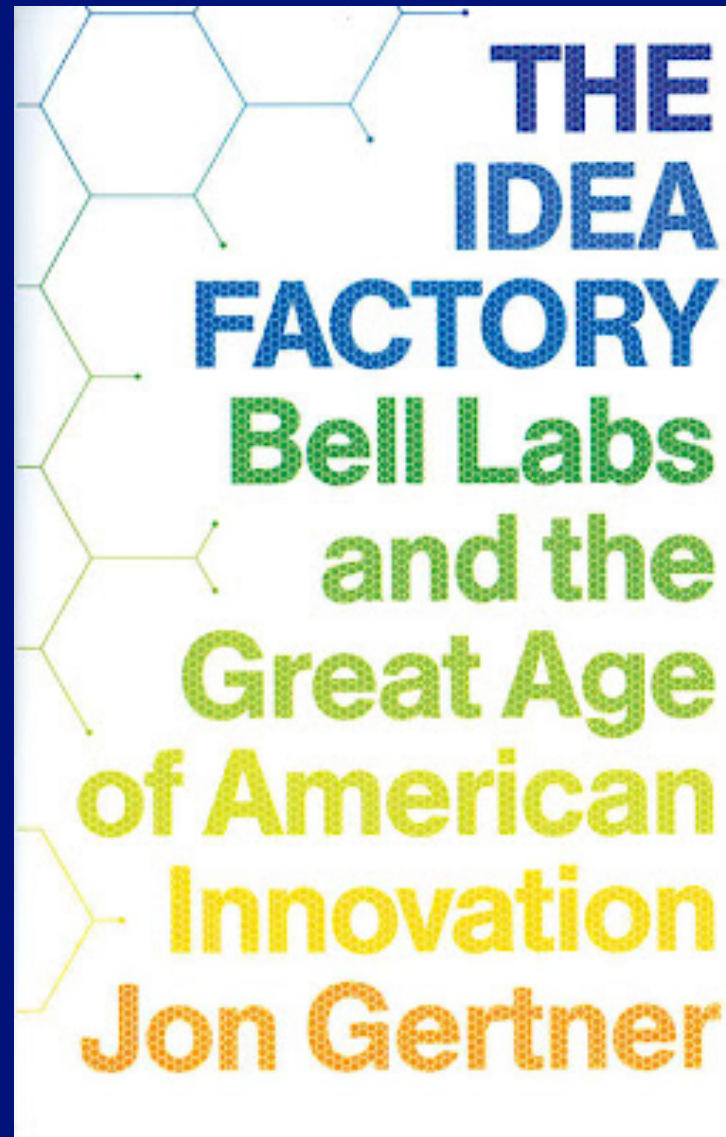
*Gleb Wataghin Institute of Physics – State University
of Campinas - UNICAMP - Campinas, São Paulo,
Brazil*

**Bell Telephone Company:
1877 in Boston by Alexander Graham Bell**

American Telephone and Telegraph [AT&T] - 1885



Bell Labs na Revolução da Informação



Theodore Vail

AT&T's president in 1885 – 1889 and 1907 - 1919



1906 San Francisco earthquake

Amplification, amplification, amplification!

Beginning 20th century - Telephone calls < 30 km .
1913 - De Forest sell triode vacuum tube patent to AT&T
San Francisco 1915 World Fair 1st Transcontinental Call



Alexander Bell

Theodor Vail



Thomas Watson



Repercussions: AT&T became a monopoly

Management directions

1 - Go for Solid State - 90% failures in the tube filament and mechanical parts – transistor/semiconductors/solar cells

2 – Radio waves – Radioastronomy, Satellites, Cell Phones

3 – Optics because of the bandwidth – lasers, optical fibers

1925: Bell Labs became a company

AT&T and Western Electric only costumers

**Bell labs hired PhDs:
Millikan main provider
13 Nobel laureates**

Murray Hill NJ

NY

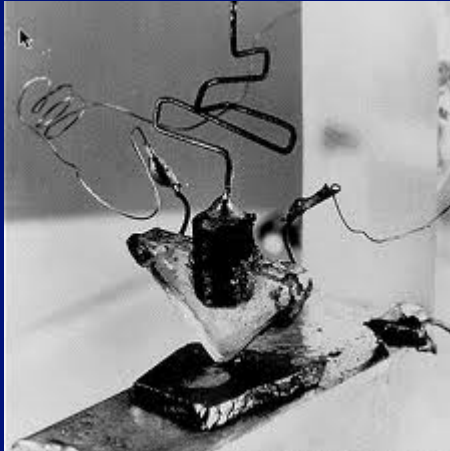


Homdel

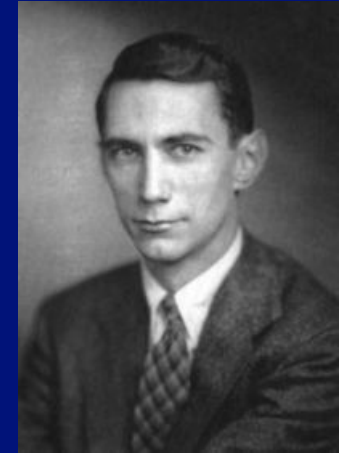
**THE
IDEA
FACTORY**
Bell Labs
and the
Great Age
of American
Innovation
Jon Gertner

1947 marvelous year

Solid State: Transistor - Bardeen + Brattain + Shockley



Information Theory – Claude Shannon



**Shockley - Stanford
Silicon Valley**



**Traitorous 8
Fairchild – 1957**

The beginning: 1971 first INTEL chip

1946 – ENIAC

1951 – Texas Instruments

1958 – Noyce & Kilby (Nobel 2000) 1st integrated circuit

1968 - INTEL – 1968 Robert Noyce e Gordon Moore



Gordon Moore



Robert Noyce

Bell Labs and Nobels

13 Nobel laureates – and counting

Clinton Davisson – 1937

Bardeen + Brattain + Shockley – 1956

Phil Anderson – 1977

Penzias + Wilson - 1978

Steven Chu – 1997

Stormer + Laughlin + Tsui - 1998

Boyle + Smith – 2009

Art Ashkin – 2018

Charles Townes – 1964 Columbia

Arthur Schawlow - 1981 Stanford

Eric Betzig – 2014

Importance of Bell Labs

Vacuum tubes – Photovoltaics – semiconductors - transistor

Radio astronomy - Satellite communications - Cell phone

Maser: Townes + Jim Gordon –

Lasers: Ali Javan - HeNe laser + CKN Patel – CO2 laser

Raman revival – Sérgio Porto

Ultrafast lasers – Non Linear Optics

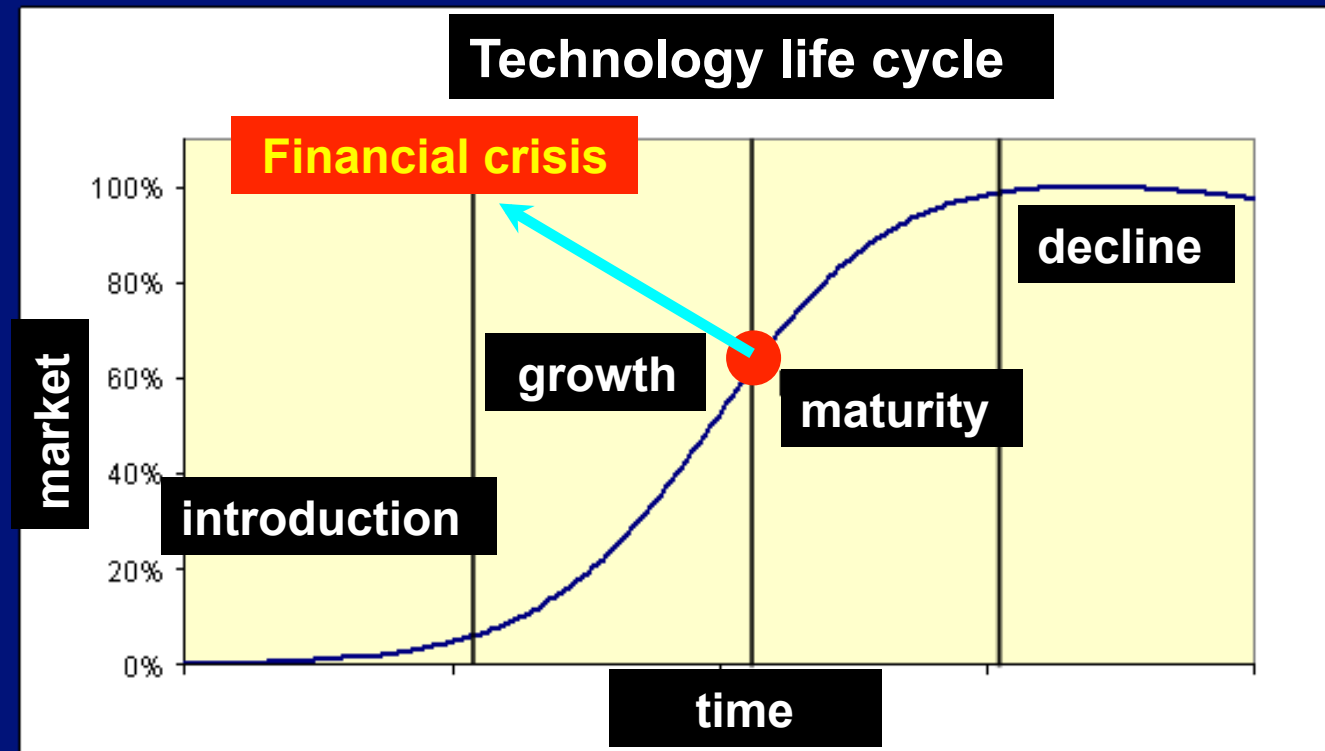
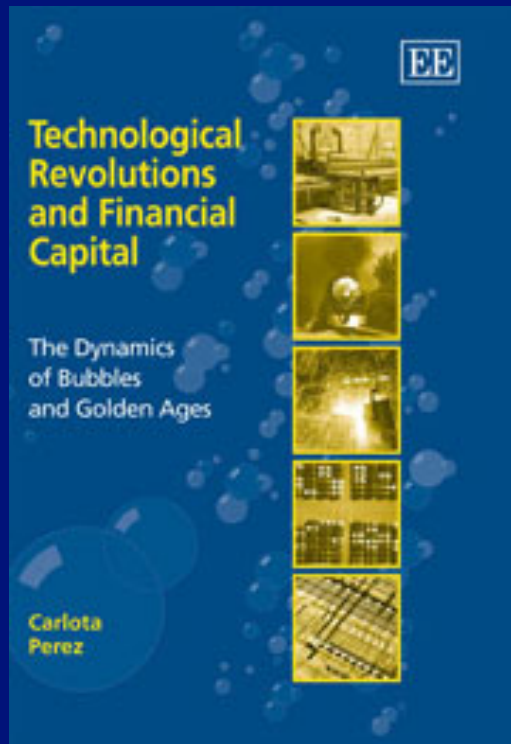
Laser trapping - Optical fibers

Information theory

C

UNIX

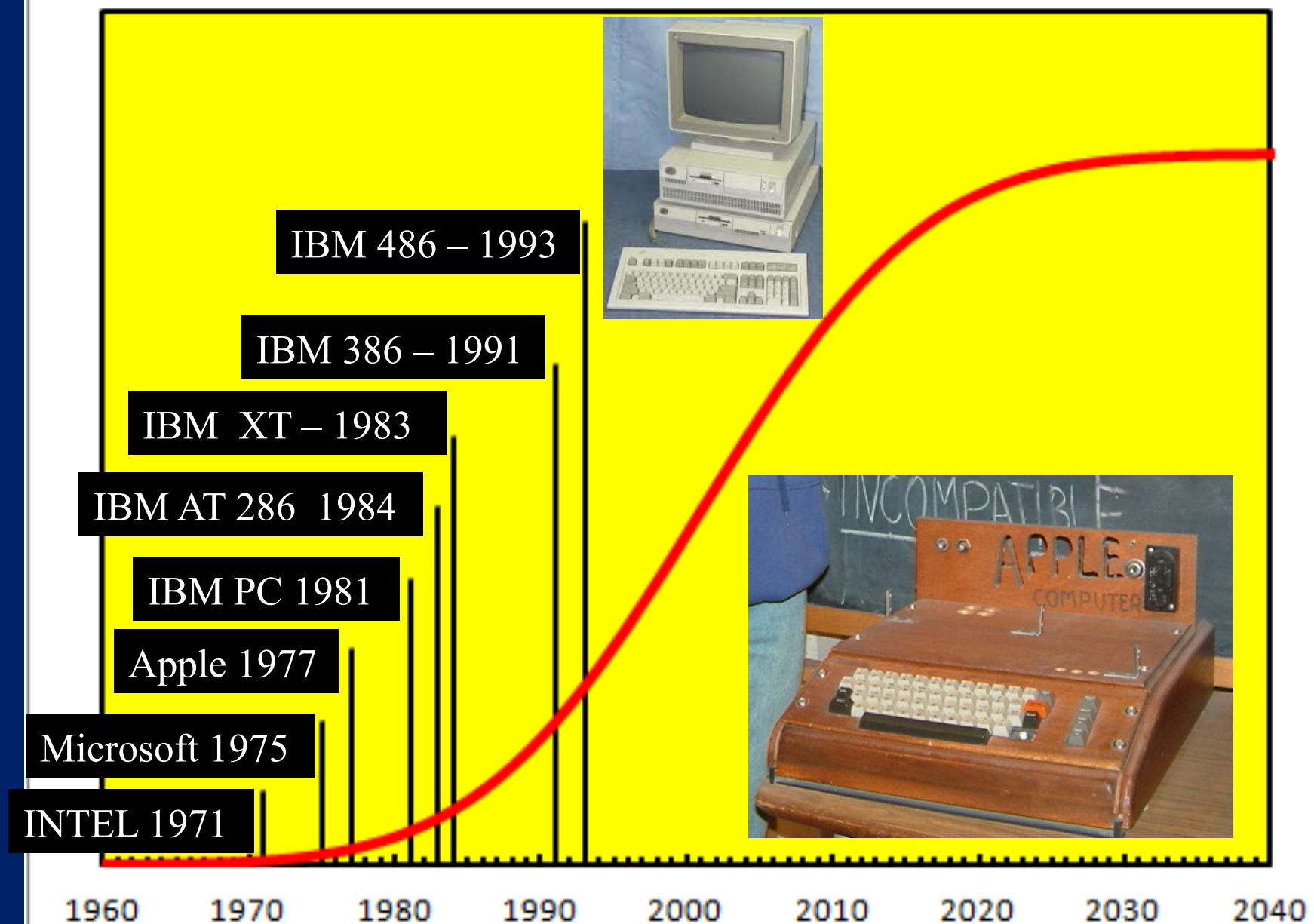
Carlota Perez: Technological Revolutions and Financial Capital



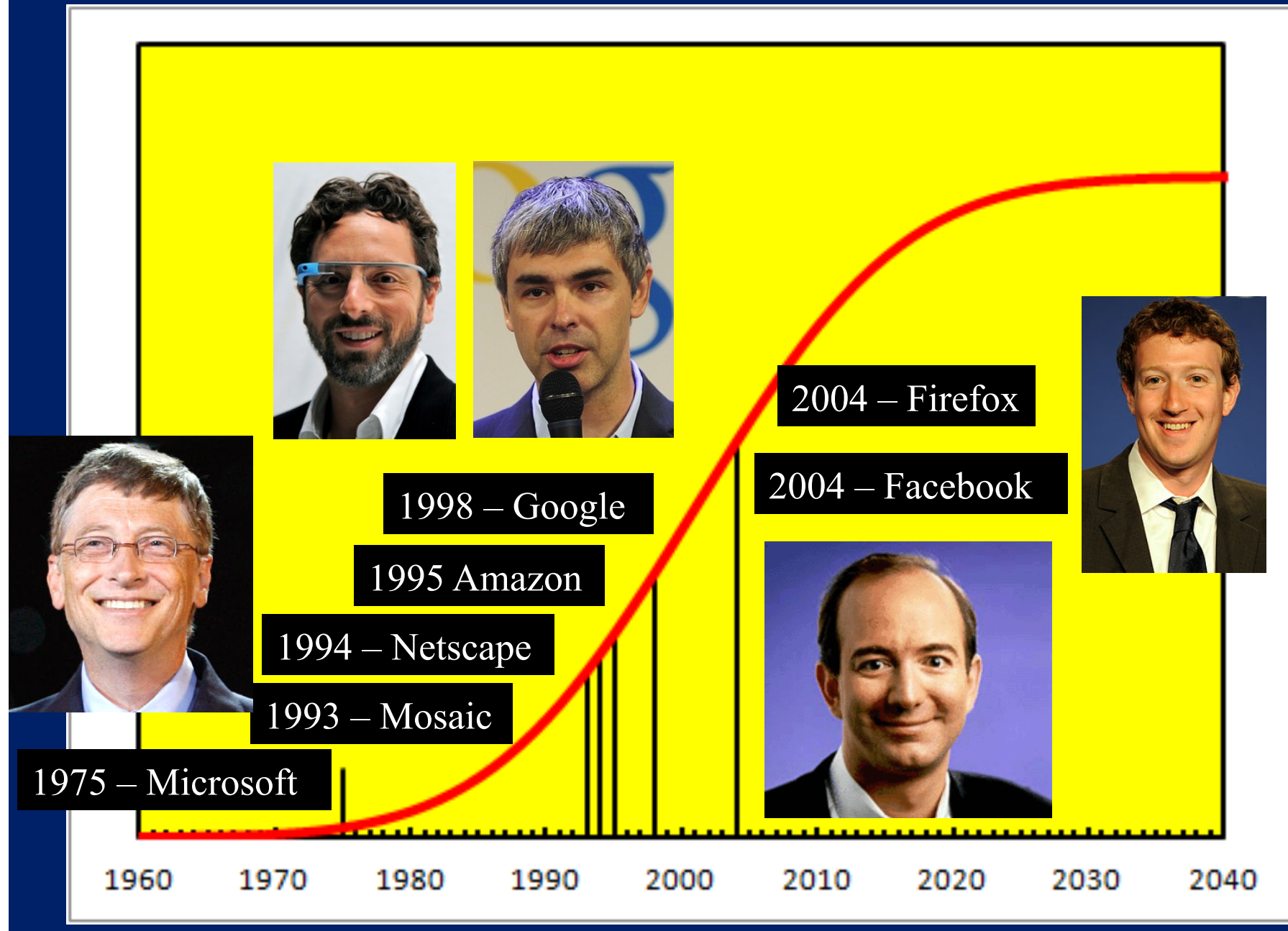
5 Revolutions - ~50- 60 years total cycle

1. Industrial Rev. – England – 1771
2. Steam and rail-road – England – 1829 [58 years after the 1st]
3. Steel and eletricity – England+USA+Germany – 1875 [46 ys after 2nd]
4. Oil, cars and mass production – USA – 1908 [33 ys after 3rd]
5. Information and comunications – USA – 1971 [63 ys] – [46 ys after 5th]

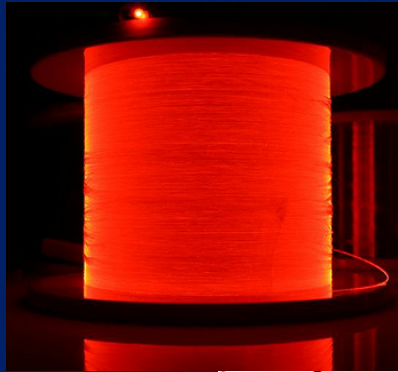
Hardware



Software



Optical Network



1994 – calls by OF > electronic calls

1988 – 1st TAT EDFA

1987 – Optical Amplifier

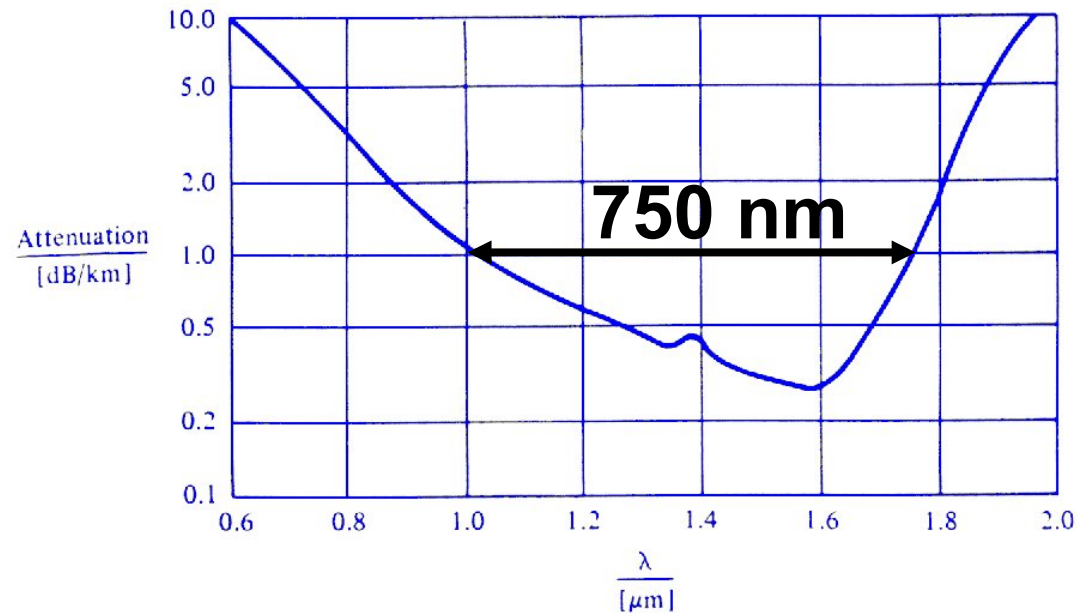
1975 OF communication

1970 Optical Fiber

1960 1970 1980 1990 2000 2010 2020 2030 2040



Optical Communication - On the edge

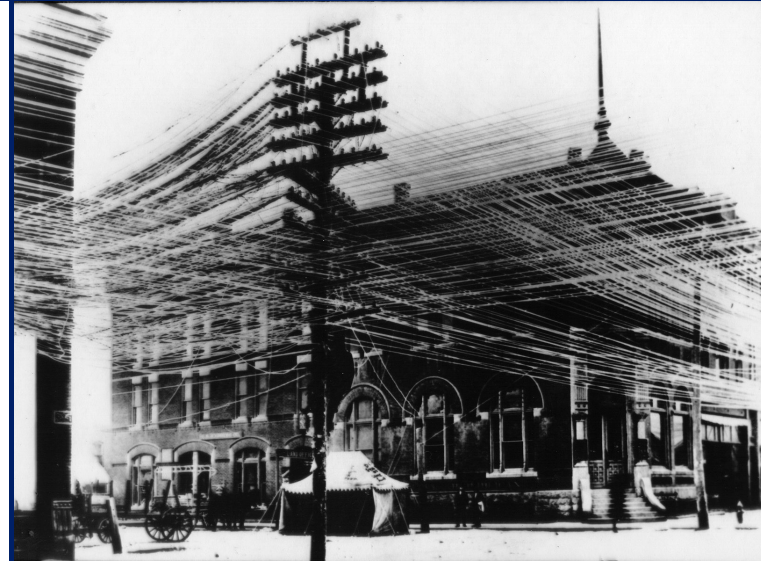


Typical optical fiber attenuation

Bandwidth for 1 dB/km losses

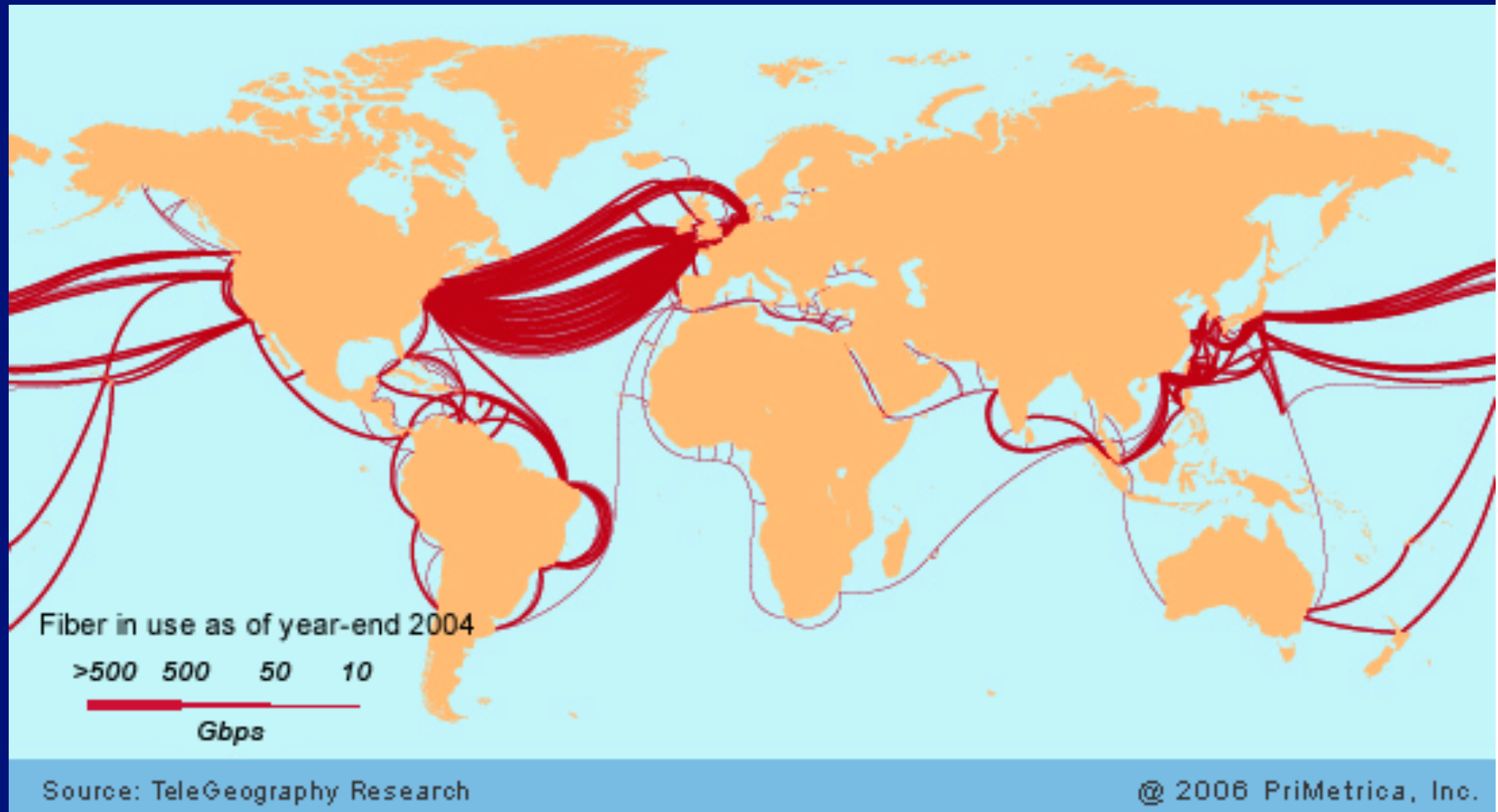
$$\Delta\lambda = 750 \text{ nm} \Rightarrow \Delta\nu\Delta\tau = 0.44$$

Total Capacity of only one fiber
 $10^{14} = 100 \text{ Tbit/s}$

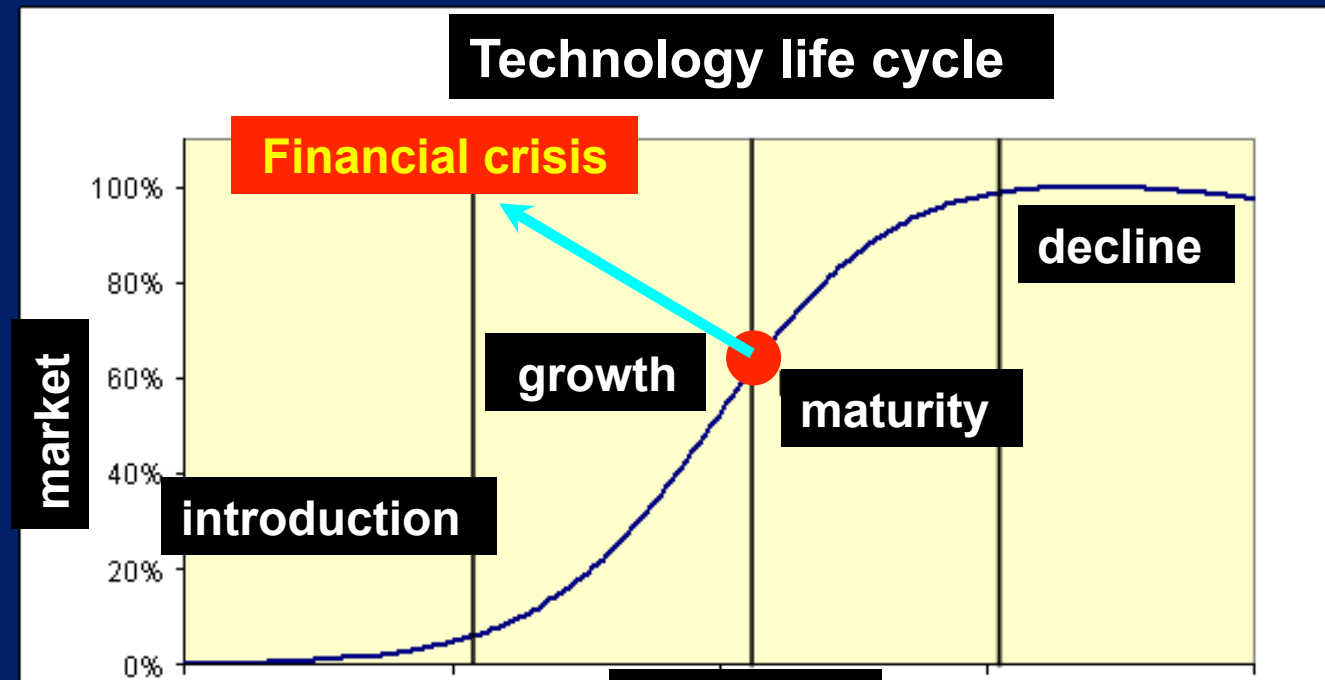


Amplification, amplification, amplification!

Optical Erbium Doped Fiber Amplifier



Carlota Perez: Technological Revolutions and Financial Capital



Trillion dollars question: Next Revolution???
Our bet: control of biology at cell/molecular level
BIOECONOMY

Bell Labs and Brazil

IFGW Founders



Sérgio Porto



Rogério Cerqueira Leite



José Ellis Ripper Filho

Alvin Kiel

K. Shaklee

Antônio/Teresa Penna e Fernando Cerdeira

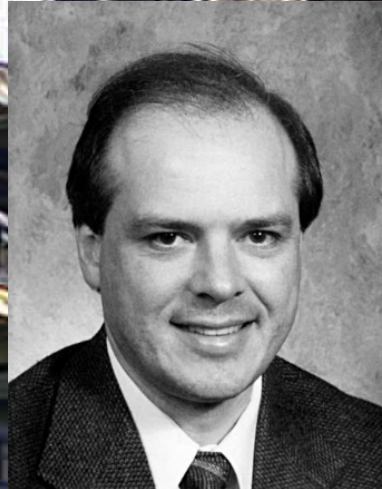
Photonics for Communications: Ultrafast Lasers, Semiconductors, Non linear Optics, Optical Fibers, Optical Amplifiers



Brito Cruz: 1986-1987



**Charles Shank:
diretor**



David Miller



Hugo Fragnito: 1987-1989

Dear Prof. Cesar:

On behalf of Chuck Shank, Art Ashkin and myself, I would like to invite you to join during your Brazilian fellowship the Quantum Physics and Electronics Research Department at AT&T Bell Laboratories in Holmdel, New Jersey, USA. It will be my

CARLOS L CESAR
AT T BELL LABS
RM 4D 437
HOLMDEL NJ 07733



Carlos Lenz: 1988 – 1990

Decline

1983 – AT&T Divestiture – AT&T and baby Bells

Bell Labs and Bell Core

1990's

AT&T + Lucent + NCR

Lucent sold to Alcatel sold to Nokia

Why Bell Labs was so unique?

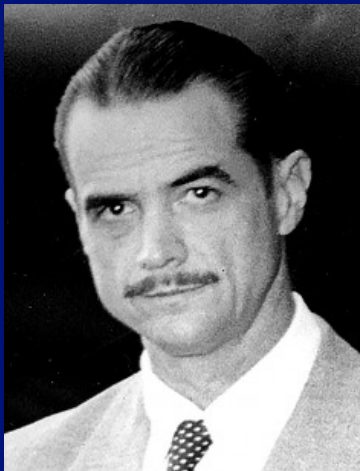
Big labs era is over? Bell, IBM, Xerox, GE

Is there any XXI century similar labs?

Janelia Farm – HHMI

Howard Hughes Medical Institute

18.2 billion USD endowment



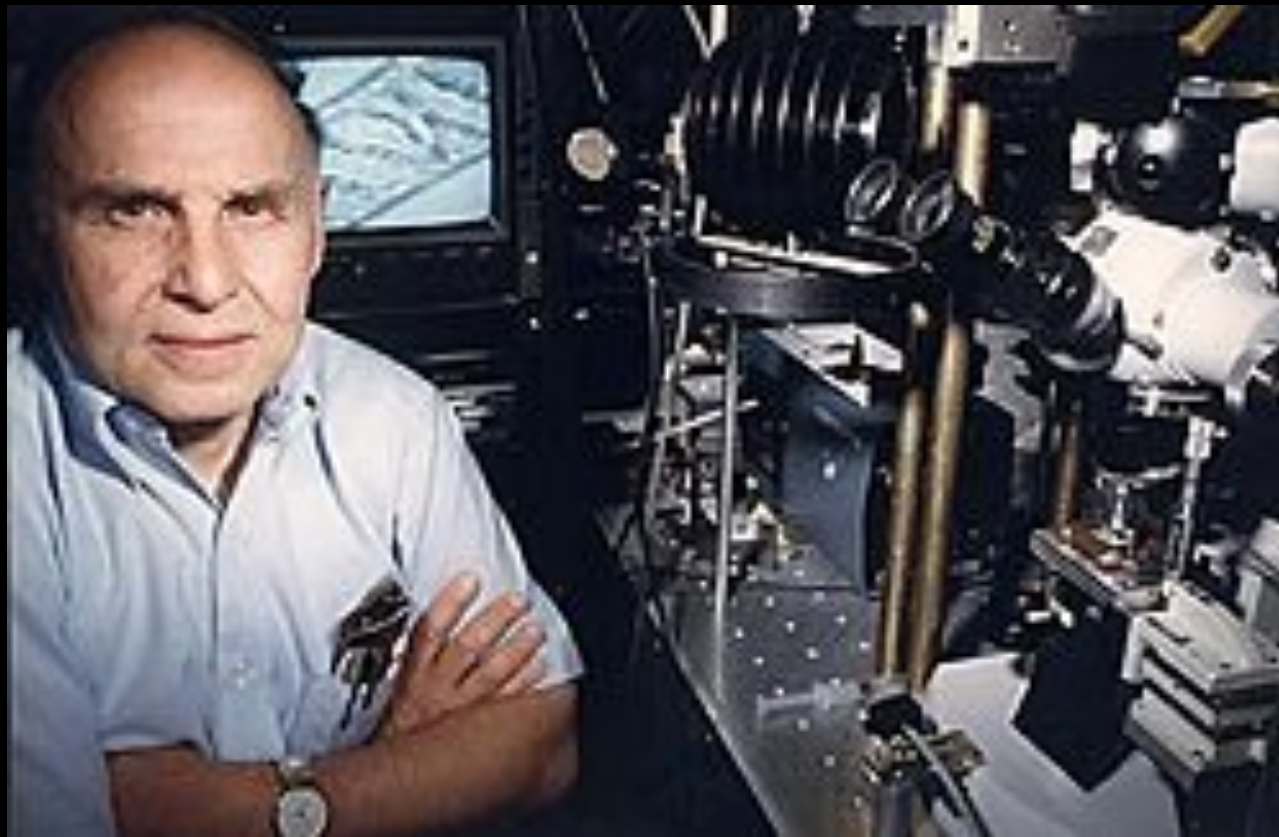
Two Optical Trapping Nobel Prizes

1997: Steven Chu - Atom Trapping

2018: Art Ashkin – Particle Trapping

Also know as Optical Tweezers

Optical Tweezers



Arthur Ashkin - 1986

Physicist – AT&T Bell Laboratories

Particle trapping preliminar work:

1970 – A. Ashkin, “Acceleration and trapping of particles by radiation pressure”, PRL Cited: 2,541

1971 – A. Ashkin and J. M. Dziedzic, “Optical levitation by radiation pressure”, APL Cited: 444

1976 - A. Ashkin and J. M. Dziedzic, “Optical levitation in high-vacuum”, APL Cited: 130

1977 - A. Ashkin and J. M. Dziedzic, “Observation of resonances in radiation pressure on dielectric spheres”, PRL Cited: 301

1981 - A. Ashkin and J. M. Dziedzic, “Observation of optical resonances of dielectric spheres by light-scattering”, Appl. Opt. Cited: 200

1983 - A. Ashkin and J. Gordon, “Stability of radiation-pressure particle traps - an optical earnshaw theorem”, Opt. Lett. Cited: 114

Particle trapping 2018 Nobel work:

1986 - A. Ashkin and J. M. Dziedzic, J.E. Bjorkholm, S. Chu et al, “Observation of a single-beam gradient force optical trap for dielectric particles”, Opt. Lett. Cited: 3,854

1987 - A. Ashkin and J. M. Dziedzic, “Optical trapping and manipulation of viruses and bacteria”, Science Cited: 1,173

1987 - A. Ashkin, J. M. Dziedzic and T. Yamane, “Optical trapping and manipulation of single cells using infrared-laser beams”, Nature Cited: 1,309

Particle trapping posterior work:

1989 - A. Ashkin and J. M. Dziedzic, “Internal cell manipulation using infrared-laser traps”, PNAS Cited: 222

1990 - A. Ashkin, K. Schutze, J. M. Dziedzic et al., “Force generation of organelle transport measured in-vivo by an infrared-laser trap”, Nature Cited: 375

1992 - A. Ashkin, “Forces of a single-beam gradient laser trap on a dielectric sphere in the ray optics regime”, Biophys. J. Cited: 1,050

1994 - K. Schutze, A. Clementsengewald and A. Ashkin, “Zona drilling and sperm insertion with combined laser microbeam and optical tweezers”, Fertility and Sterility Cited: 53

Atom trapping:

1970 – A. Ashkin, “Atomic-beam deflection by resonance-radiation pressure”, PRL Cited: 257

1978 - A. Ashkin, “Trapping of atoms by resonance radiation pressure”, PRL Cited: 363

1979 - A. Ashkin and J. Gordon, “Cooling and trapping of atoms by resonance radiation pressure”, Opt. Lett. Cited: 73

1980 - J. Gordon and A. Ashkin, “Motion of atoms in a radiation trap”, PRA Cited: 498

Atom trapping Nobel work:

1985 - S. Chu, L. Hollberg, J.E. Bjorkholm, A. Ashkin et al, “3-Dimensional viscous confinement and cooling of atoms by resonance radiation pressure”, PRL Cited: 477

1986 - S. Chu, J.E. Bjorkholm, A. Ashkin et al, “Proposal for optically cooling atoms to temperatures of the order of 10^{-6} K”, Opt. Lett. Cited: 477

Nobel 1997

1986 - S. Chu, J.E. Bjorkholm, A. Ashkin et al, “Experimental-observation of optically trapped atoms”, PRL Cited: 493

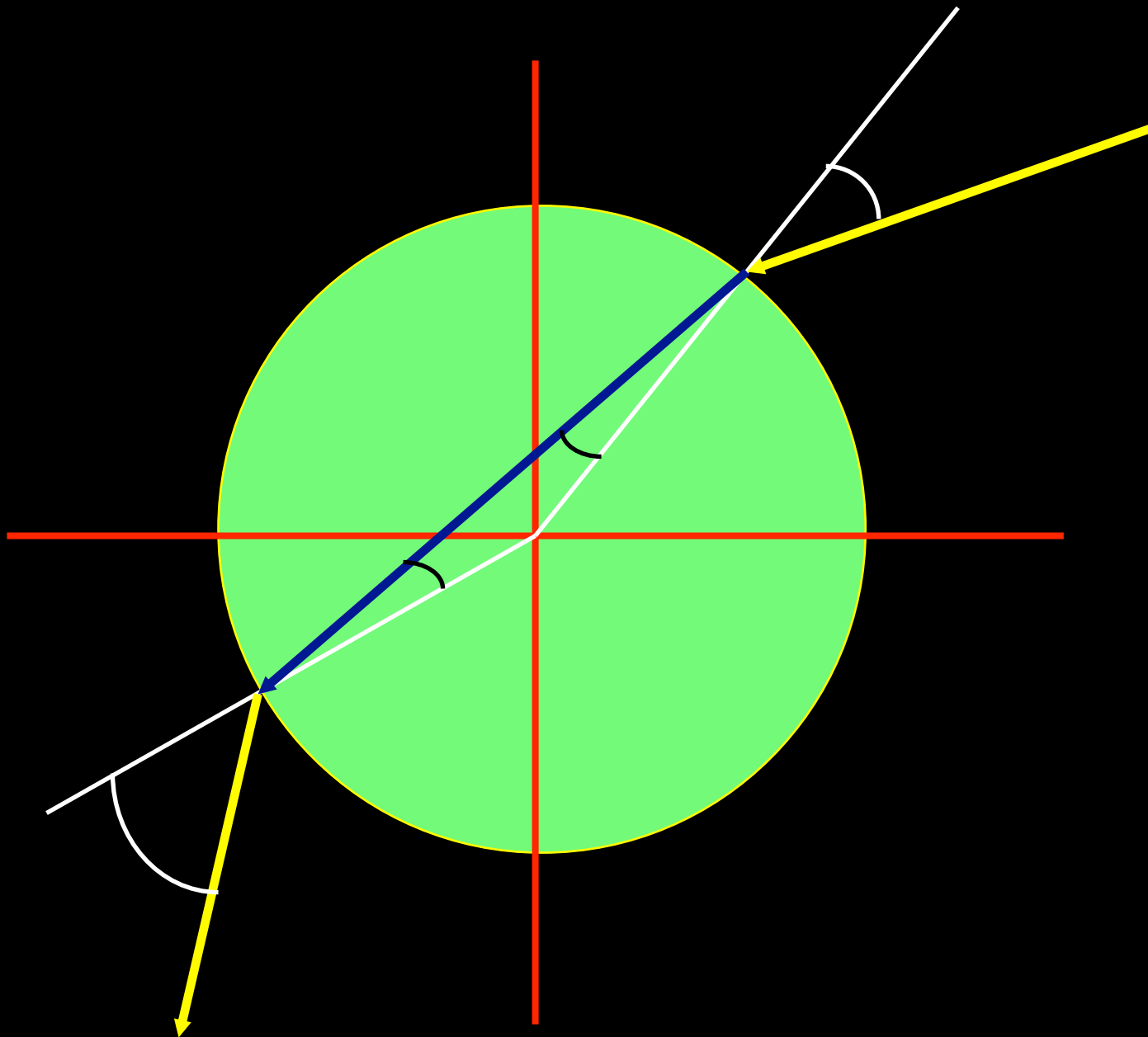
Optical tweezers: Waves for remote mechanical manipulation!!

**Einstein 1905 (Photoelectric effect):
light is made of particles called photons**

(Photo greek = light, like in photo-graphy)

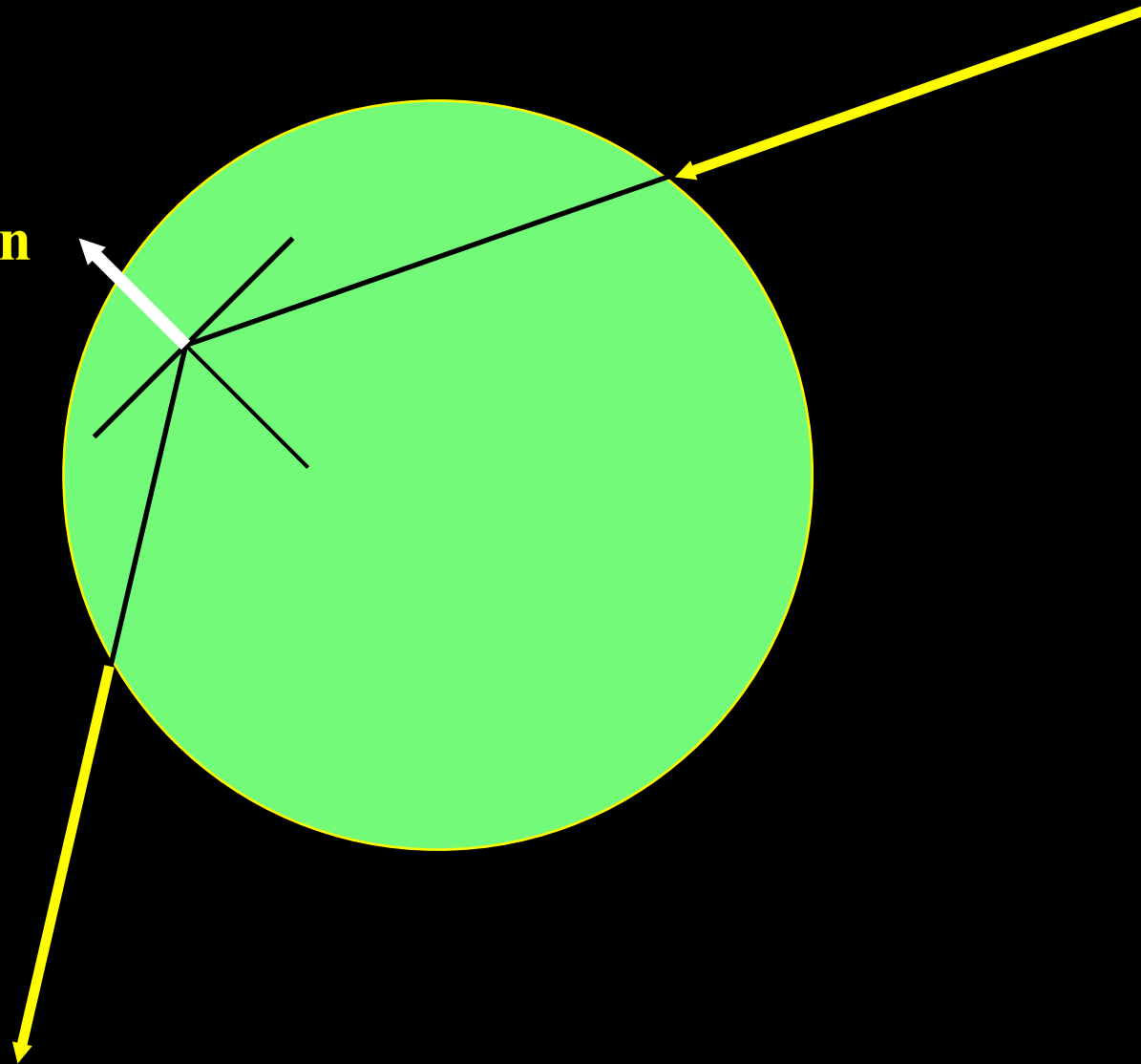
Particles can transfer momenta

Light, as wave, is refracted

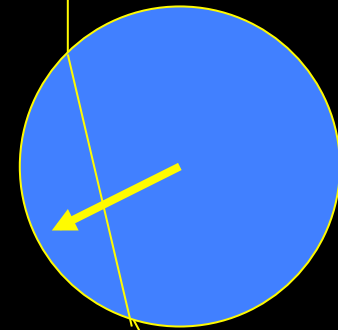
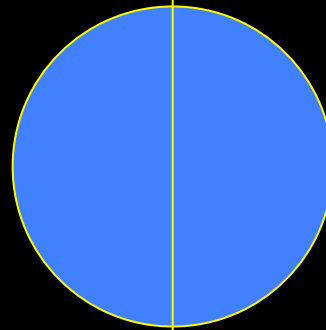
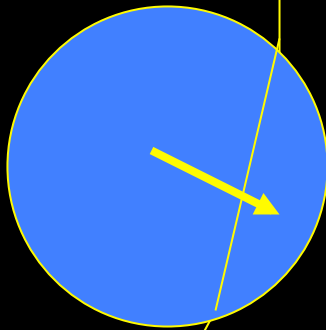


Light, as particle, transfers momentum

Force direction



Unstable trap

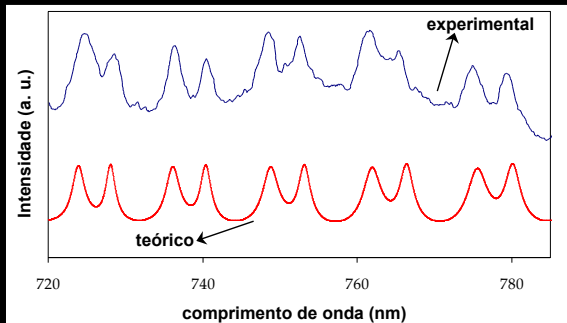
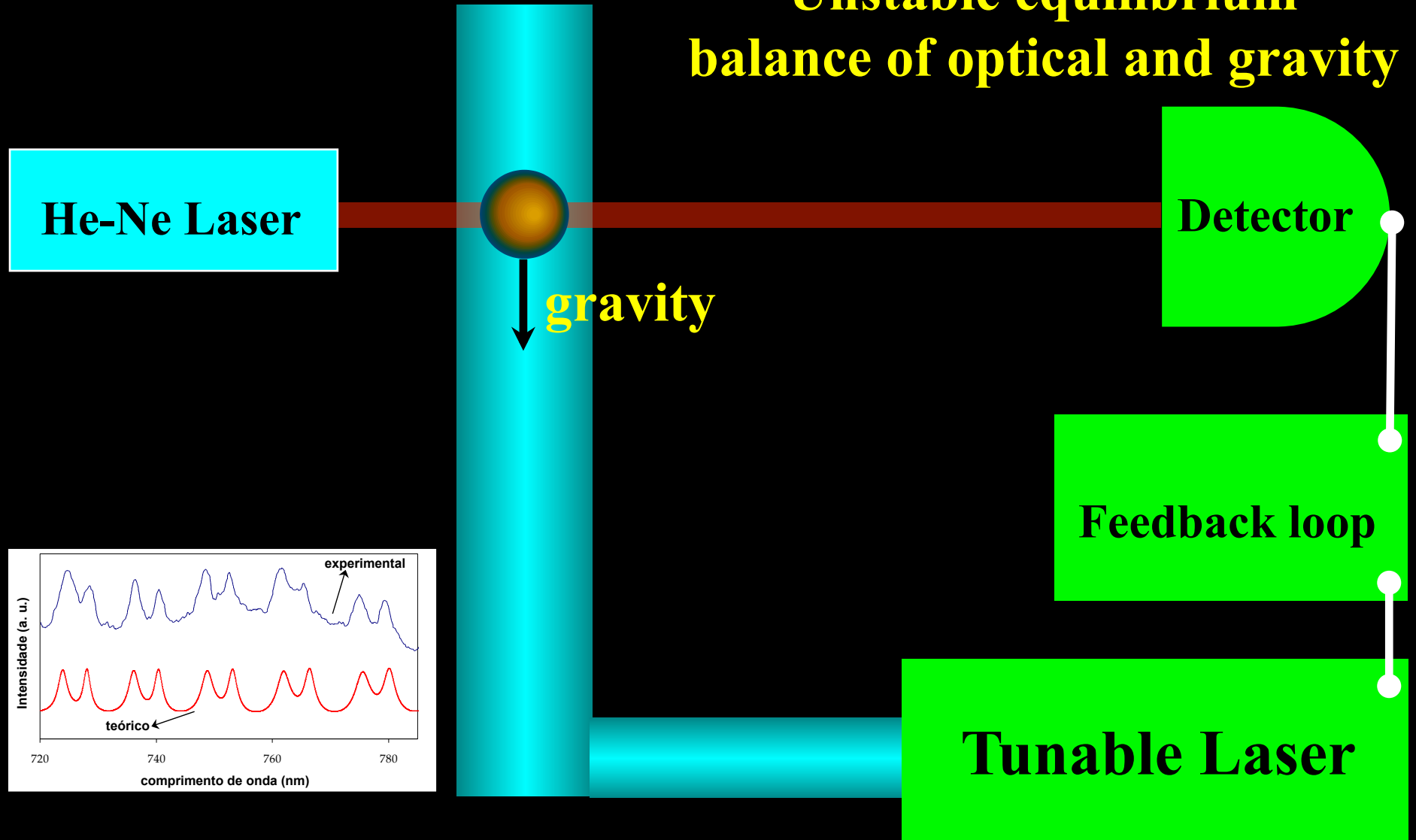


Movie only shows horizontal movement

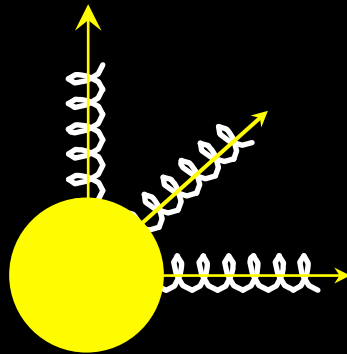
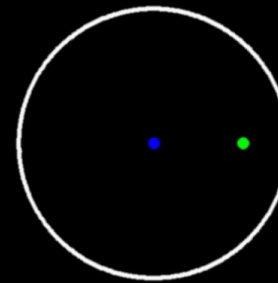
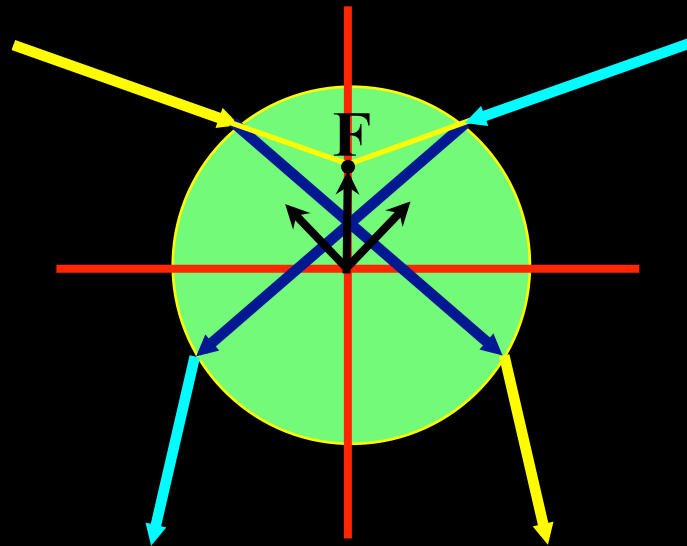
A. Ashkin optical Force Spectroscopy (1977)

Levitation Experiment

Unstable equilibrium
balance of optical and gravity



Stable trap: Single Beam Optical Tweezers



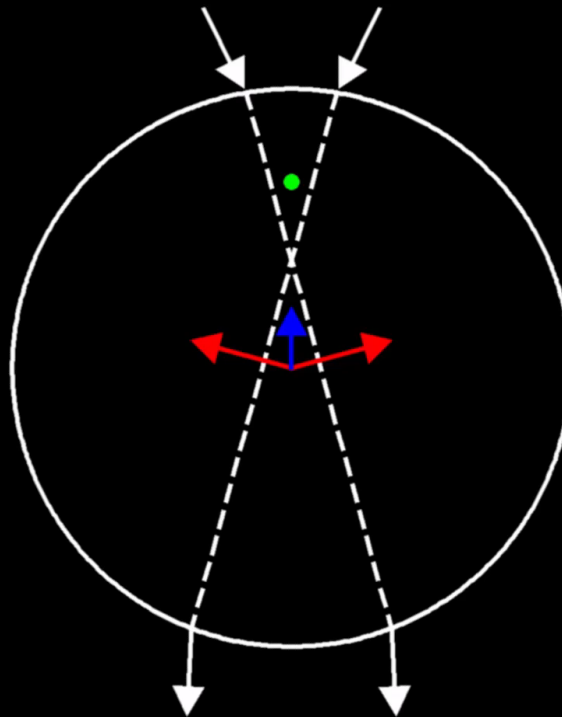
Restorative force: always tending to bring the center to the focus

Orders of magnitude

1 μm particle mass $\sim 10^{-15}$ Kg - acceleration $\sim 10,000$ g's

100 μm particles ~ 0.01 g's

Anisotropic force



High NA $\sim 1-1.4$: to increase z force component

Conditions for trap stability:

Particle refraction index $>$ fluid refraction index

Otherwise particle is expelled.

Low reflection and absorption.

Thermal effects expel particle

Optical tweezers

Single beam 3 dimension restorative anisotropic trap

very good optical
tweezers: forces
up to 200pN



Weak optical tweezers
forces < 10 pN

Play around with beads

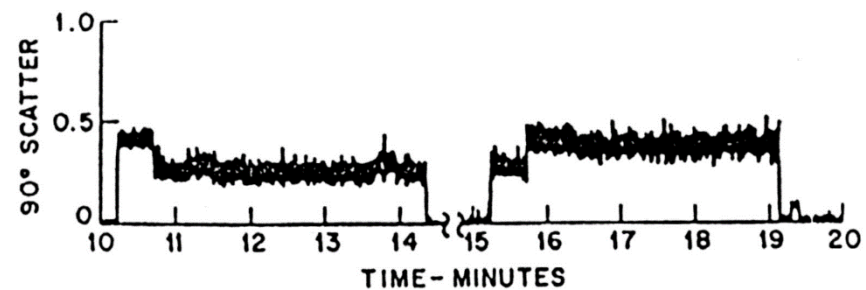
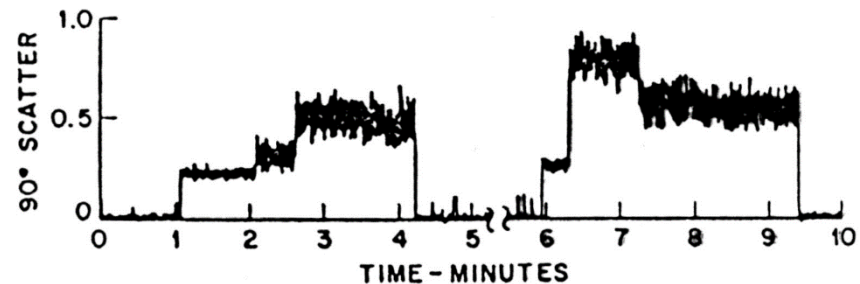
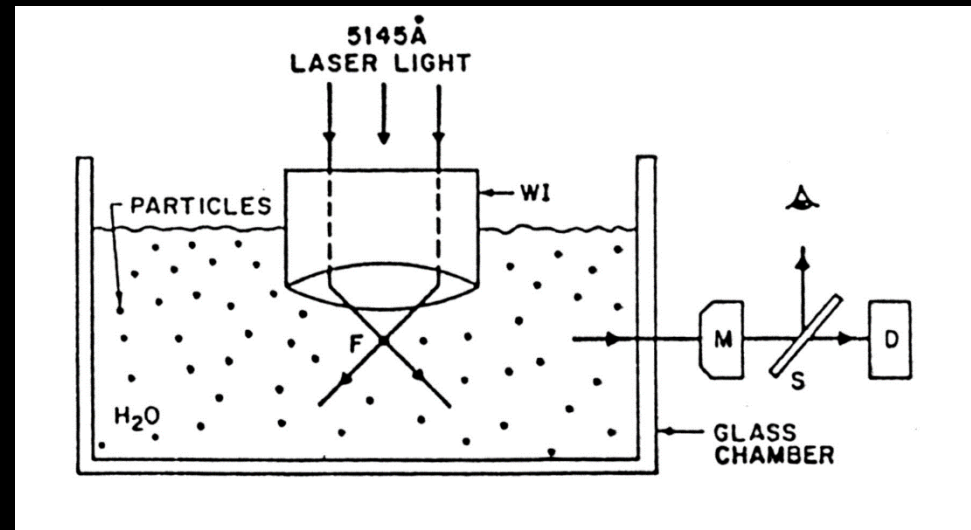
Optical Tweezers Sperm Test: capturing & holding a spermatozoid

Art Ashkin & Steven Chu capturing atoms

Argon Laser

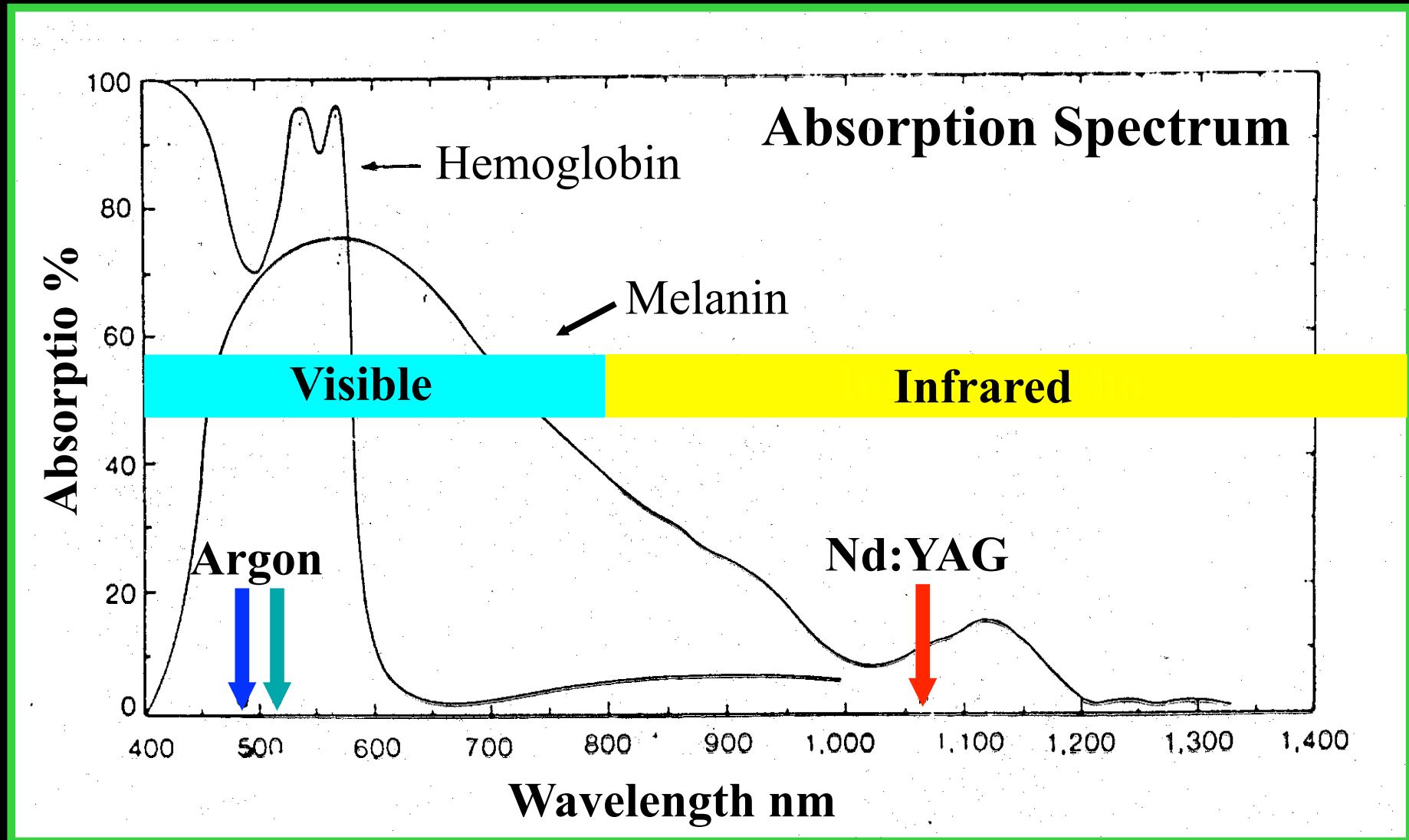


New Jersey water after
hollidays: bacterias cooked
au laser



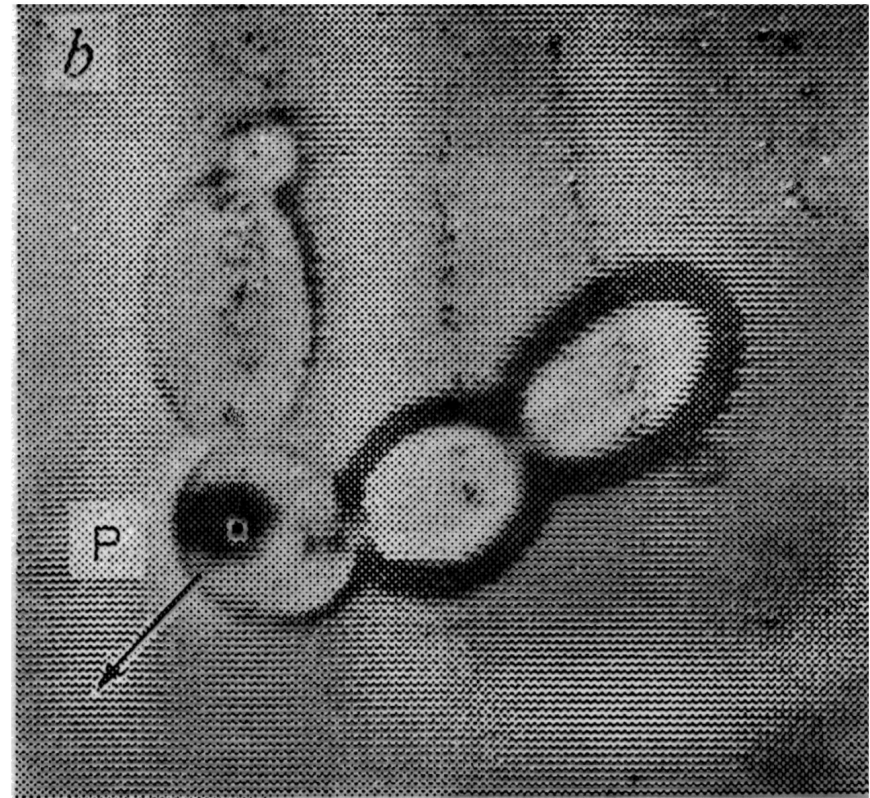
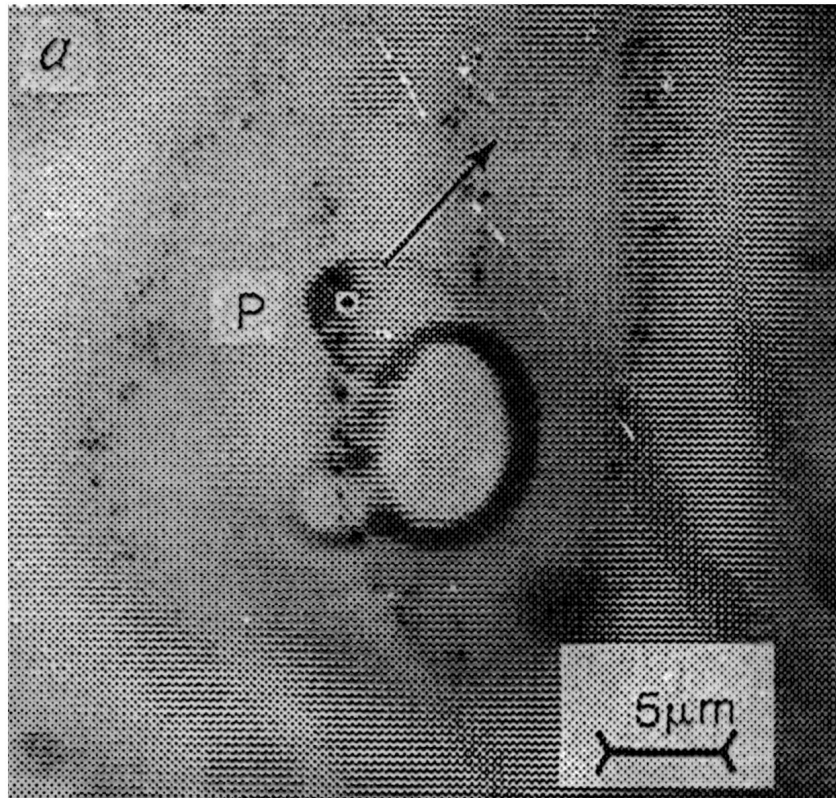
Lesson: avoid thermal
effects

Wrong Laser: Argon - 488 e 514 nm



Exchange lasers: Nd-YAG 1064 nm

☺ Keeping alive!



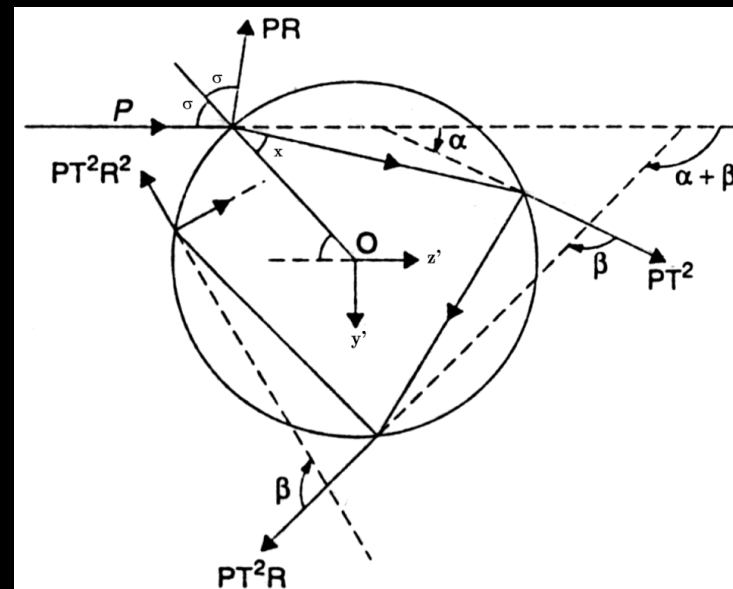
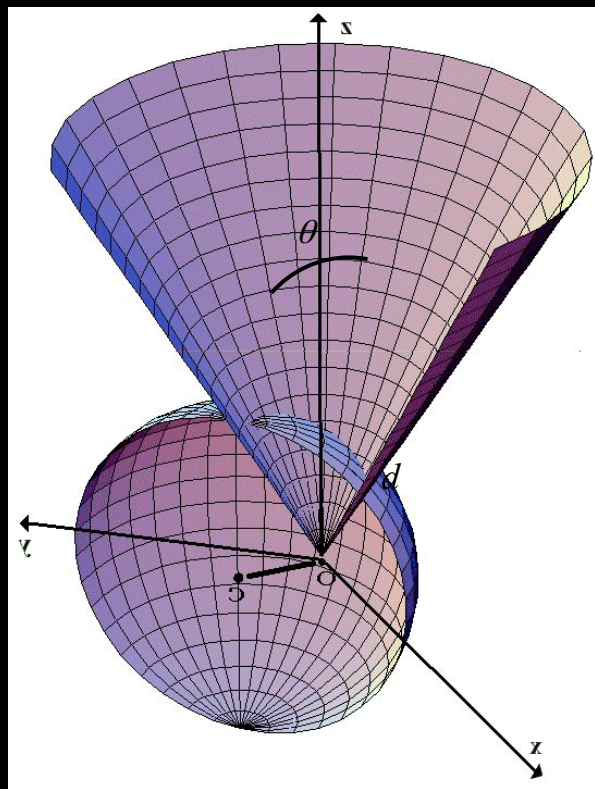
Yeast cells after 3 hours trapped with Nd:YAG

**Necessary condition (not sufficient) for reproduction:
been alive!**

A. Ashkin, J. Dziedzic and T. Yamane, Nature 330, 769 (1987)

Brazilian: today working at Butantan SP

Força óptica - teoria complicada mas possível para esfera!



Considerar reflexões

$$F_z = \frac{n_1 P}{c} [1 - R \cos(\pi + 2\sigma) + \sum_{n=0}^{\infty} T^2 R^n \cos(\alpha + n\beta)]$$

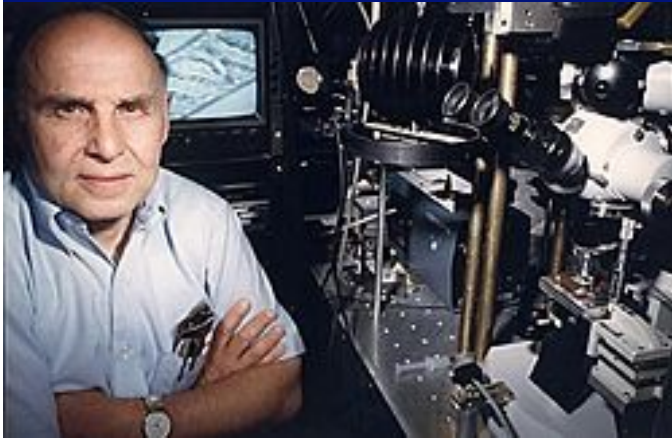
$$F_{y'} = \frac{n_1 P}{c} [-R \sin(\pi + 2\sigma) + \sum_{n=0}^{\infty} T^2 R^n \sin(\alpha + n\beta)]$$

$$F_c = F_{z'} + iF_{y'}$$

$$F_{\acute{o}ptica} = F(P, n_1, n_2, a, \theta, \phi, d)$$

Optical Tweezers at UNICAMP/Brazil:

1988 - Pos-Doc AT&T Bell Labs Holmdel - Dr Arthur Ashkin



1991 - setup of an optical tweezer in Brazil

1995 - collaboration with the Hemocenter

2001 - femtosecond lasers + optical tweezer



2005 - Chemotaxy



“Light Sucks”

XXI century Group's history:

2000 – CEPOF

2000 – NLO + OT integration – Raman/Mi

2005 – First Olympus Multiphoton Conf

2005 – First SHG image in Brazil

2007 – First FLIM in Brazil

2008 – National Institute for Photonics Applied to Cell Biology

2008 – First THG image in Brazil

2009 – Zeiss LSM 780 NLO inverted

2009 - Multiuser project

2010 - OPO for CARS

2010 - AFM for tip-enhancement

2010 – Zeiss LSM 780 NLO upright

2010 – Multiple Optical Tweezers + Laser cutting

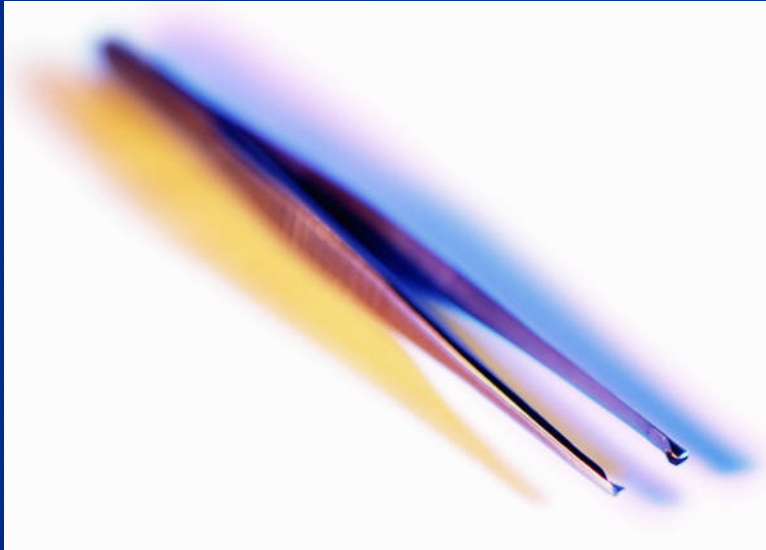
2011 – first FRET

2014 – towards super resolution/single molecule

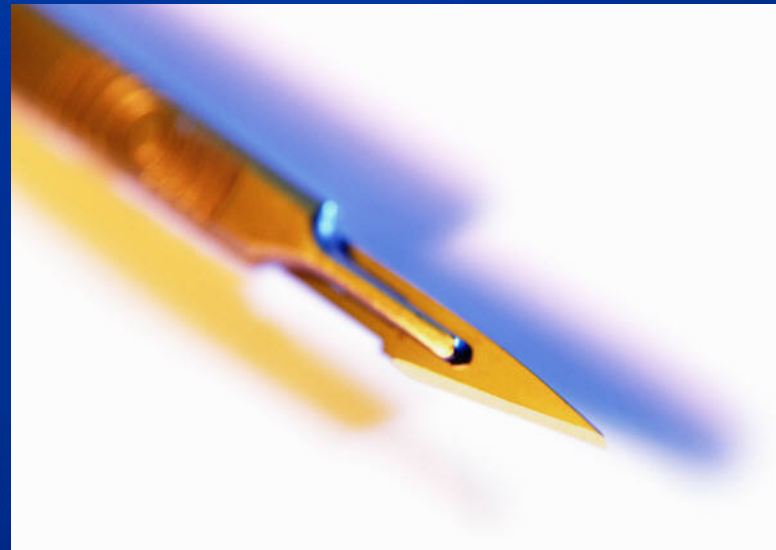


At UNICAMP

Paulo Arruda interest: optical tweezers for manipulations

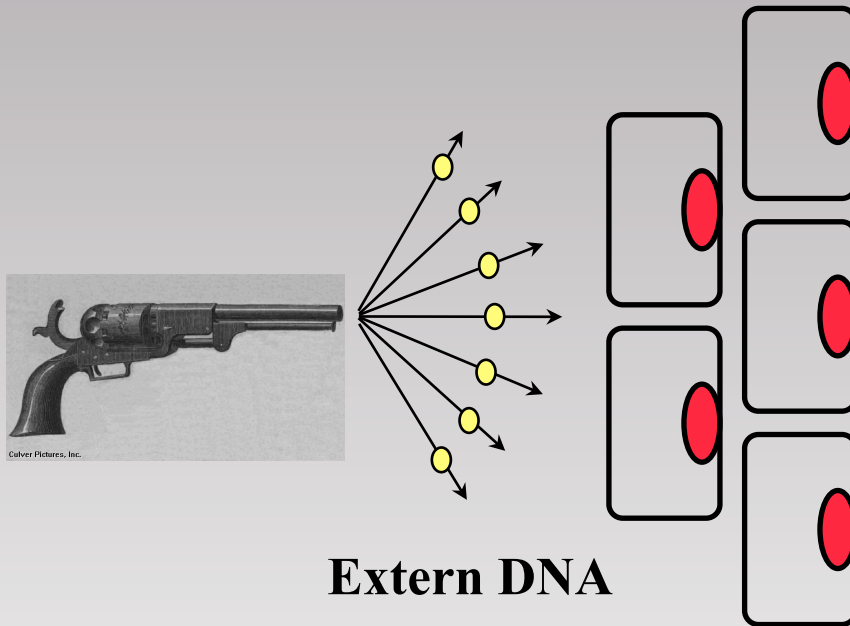


Optical Tweezers

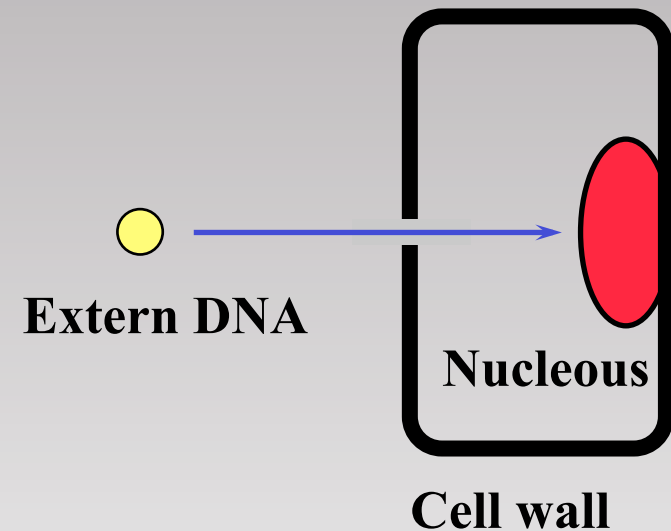


Optical scalpel

Objective: genetic manipulation

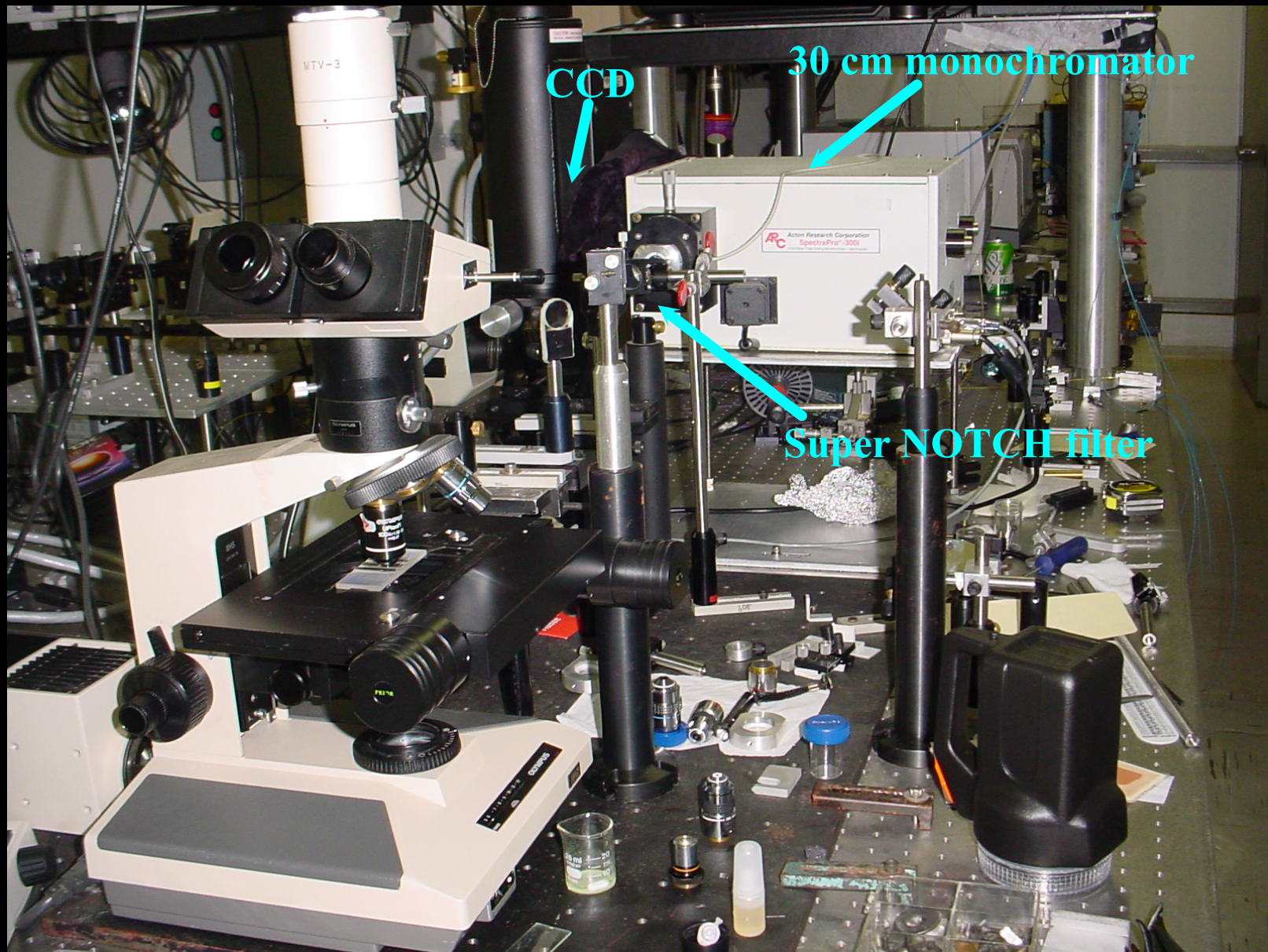


Conventional Method

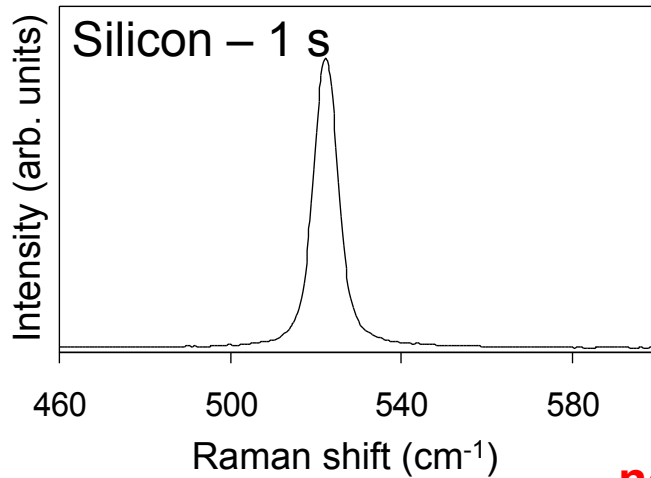


Optical Tweezers + scalpel method

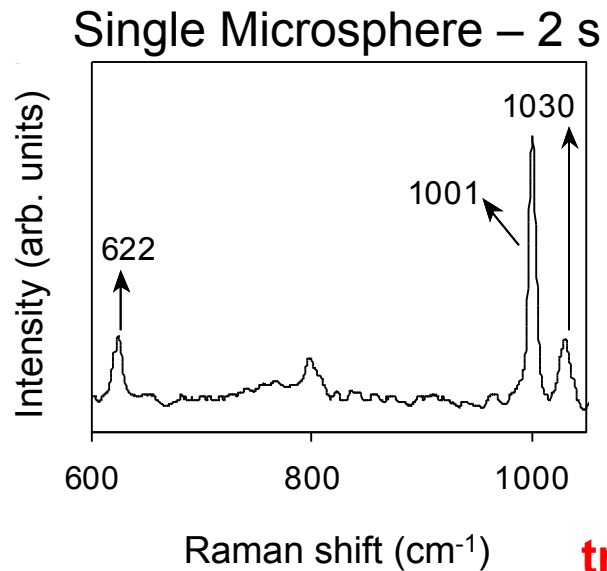
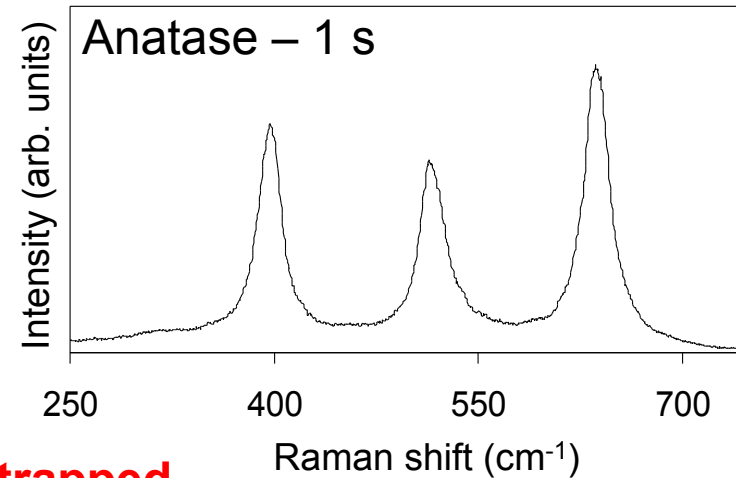
Microspectroscopy system



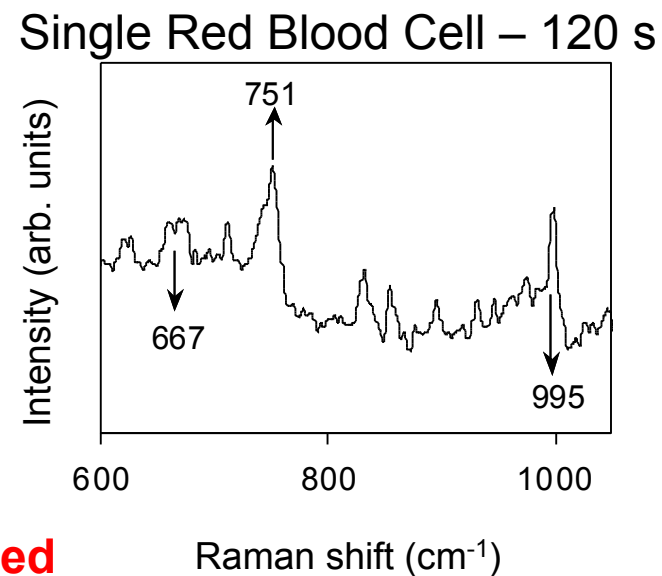
Raman Results:



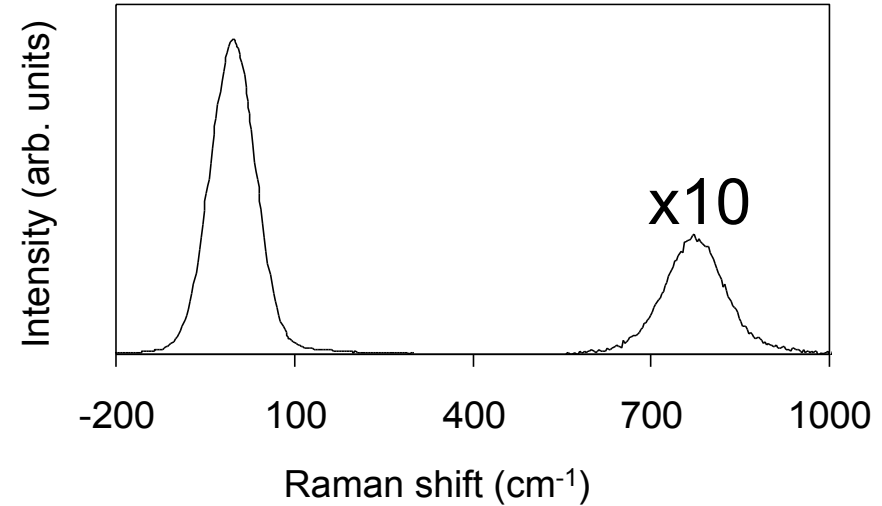
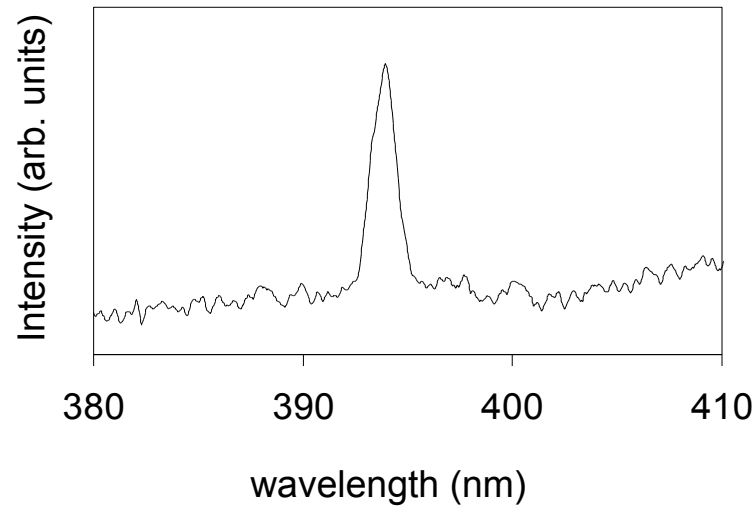
non-trapped



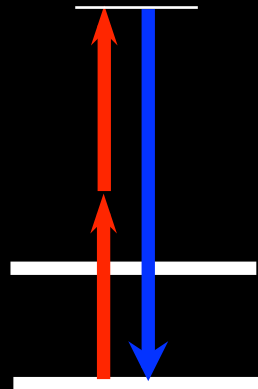
trapped



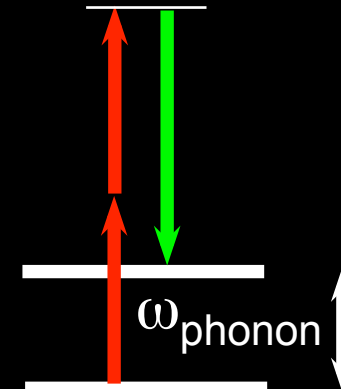
Hyper Raman and Hyper Rayleigh Results:



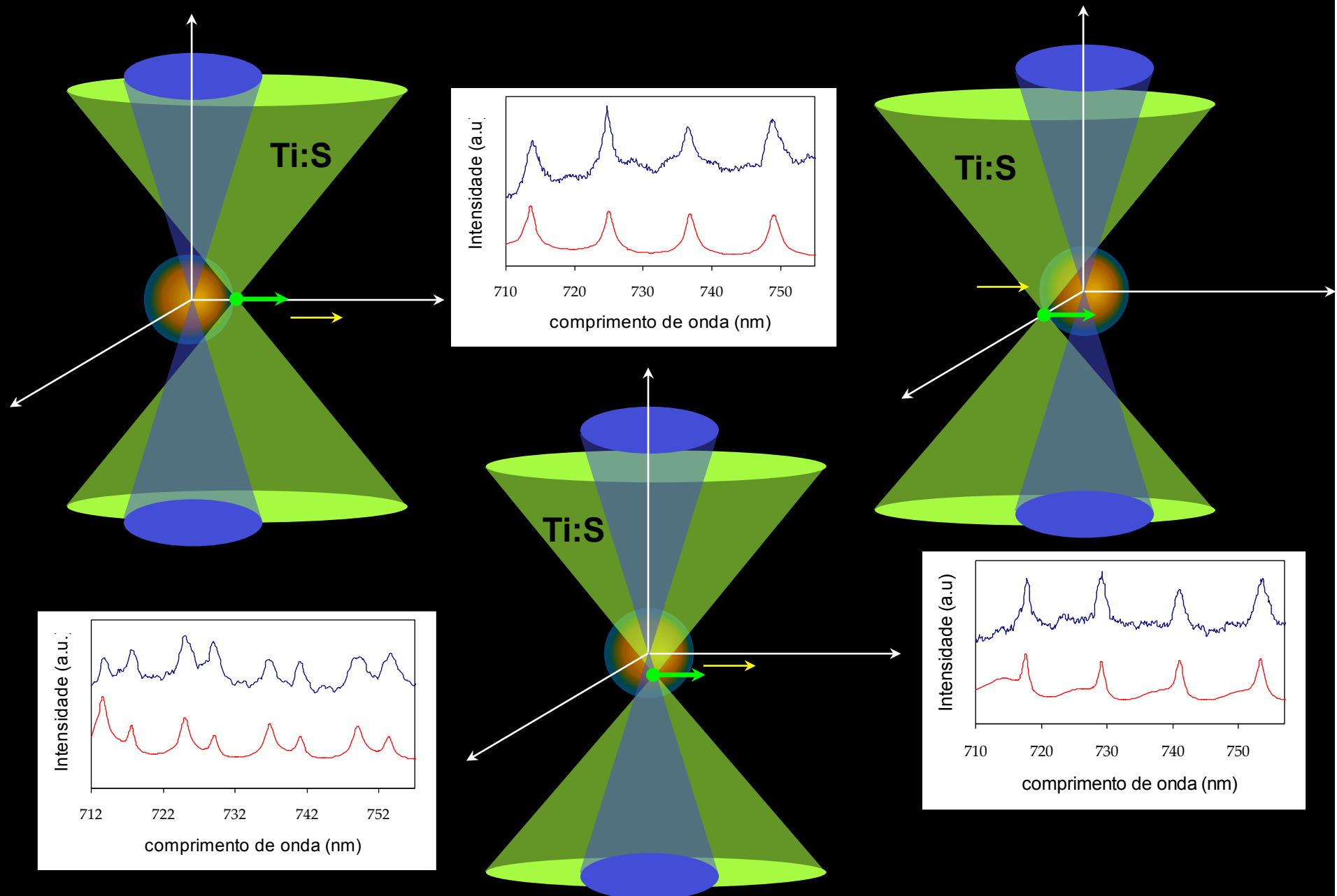
Hyper Rayleigh (SHG):
single silica microsphere – 120 s



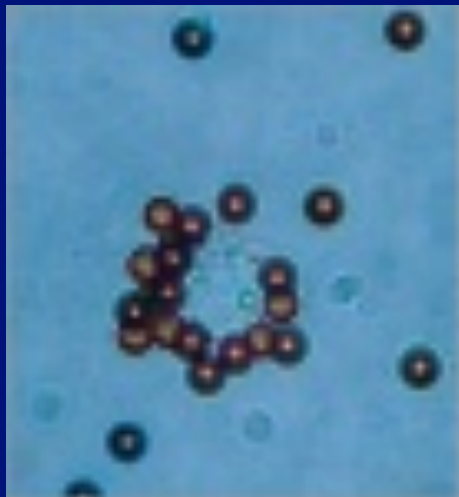
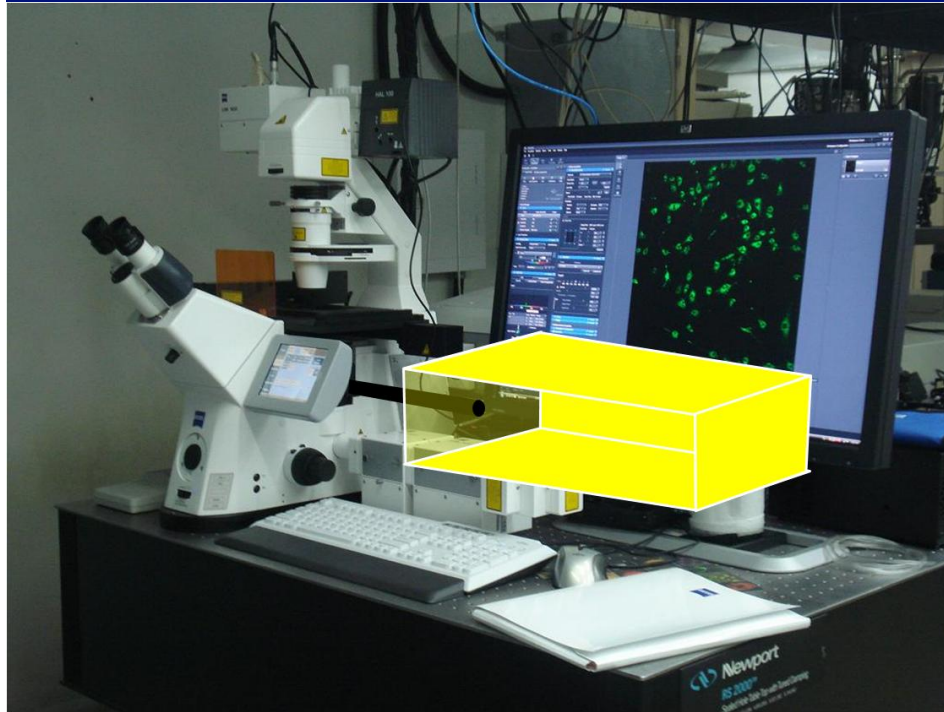
Hyper Raman:
SrTiO₃ – Only 60 s



Mie Resonance Polarization dependent Force



Optical Tweezers & Laser Cutting

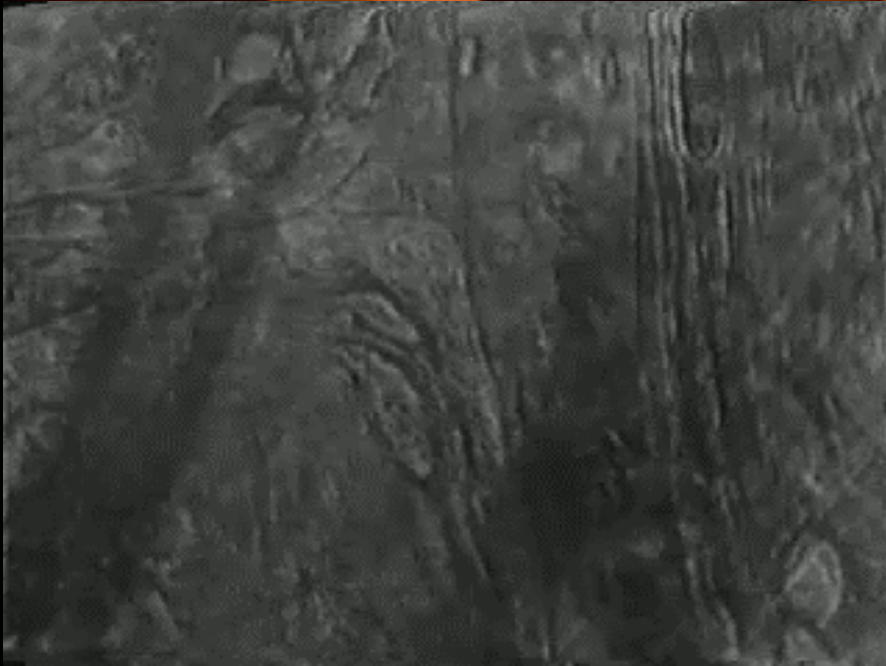
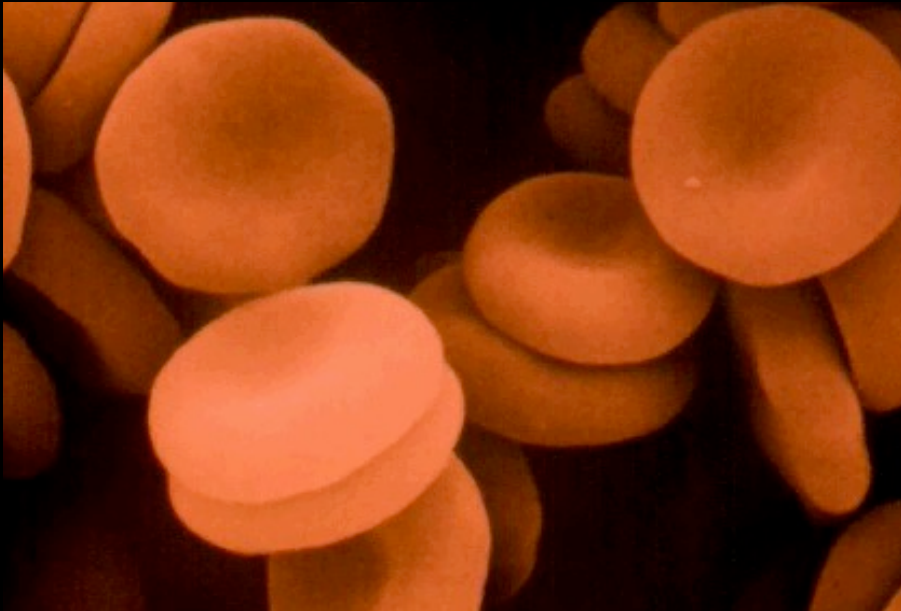


Biomechanics $F \sim 500$ pN
Cell rheology; manipulation;
Zeta potential ...

+ Laser cutting:
Controlled transfection;
Cell surgery;
Material collection; ...



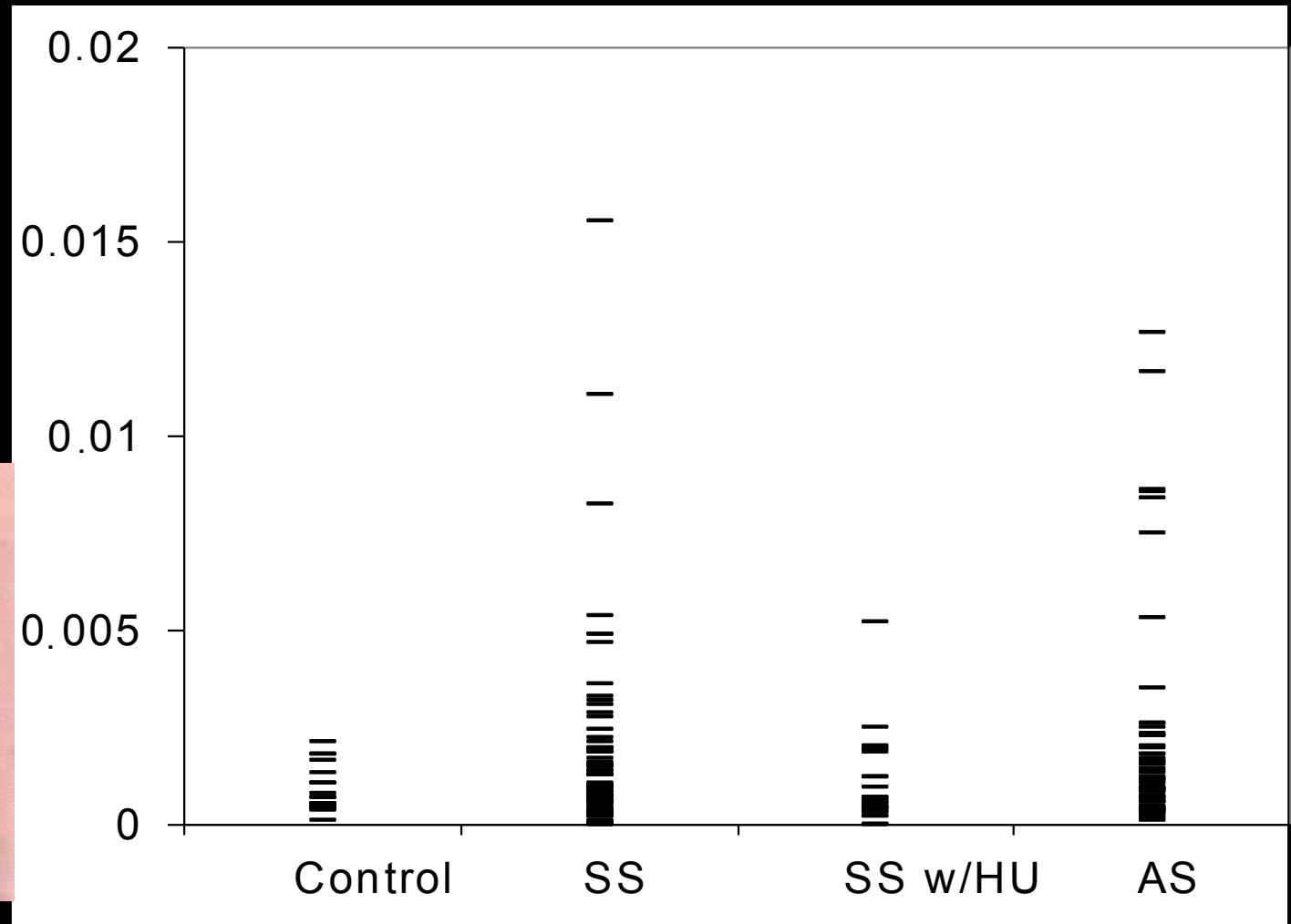
Understanding the blood



- **Size: 7 – 9 μm**
- **Pass through 3-4 μm vases in the spleen**
- **Aging: becomes more rigid - extracted from the circulation**
- **Pathologies: Sickle cell anemia, spherocytosis, etc**

Red Blood Cells: Sickle Cell anemia

Elasticity



Important: individual cell measurements

Elasticity of irradiated RBCs

Transfusion reaction: immune cells of the donor attack the host cells!

Irradiation kills the nuclear cells (white cells) but not the non-nuclear (RBC).

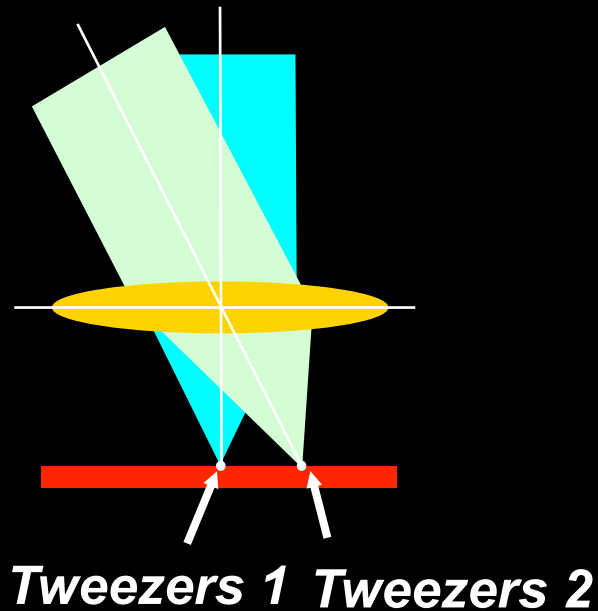
Question: what are the effects over RBCs?

Dias de estocagem	Elasticidade - μ (dyn/cm) x 10^{-3} (média $\pm$ desvio padrão)	
	Controle	Irradiadas
1	0.17 $\pm$ 0.02	0.22 $\pm$ 0.02
14	0.20 $\pm$ 0.02	0.29 $\pm$ 0.03
21	0.22 $\pm$ 0.03	2.15 $\pm$ 0.69

Barjas-Castro et al, Transfusion 42 (9), 1196-9 (2002)

**Blood Bank standard:
accept up to 28 storage days**

Double Optical Tweezers



Red Blood Cells Rouleaux



Cells slide easily one over the others but are strongly connected by their edges.

Questions:

(1) Why are they binding [blood clotting]

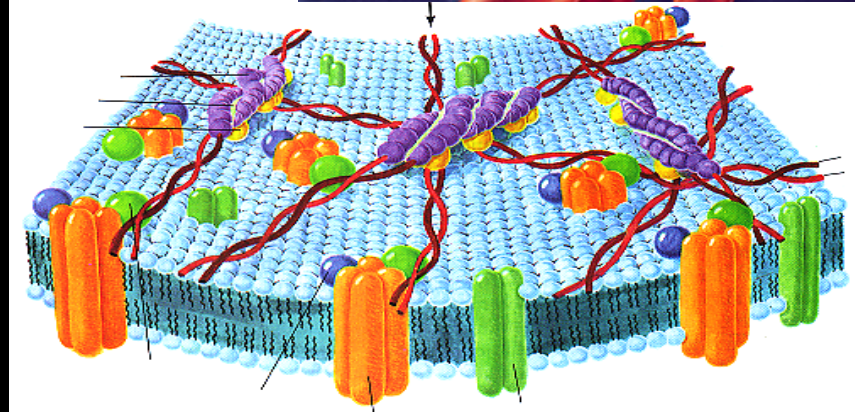
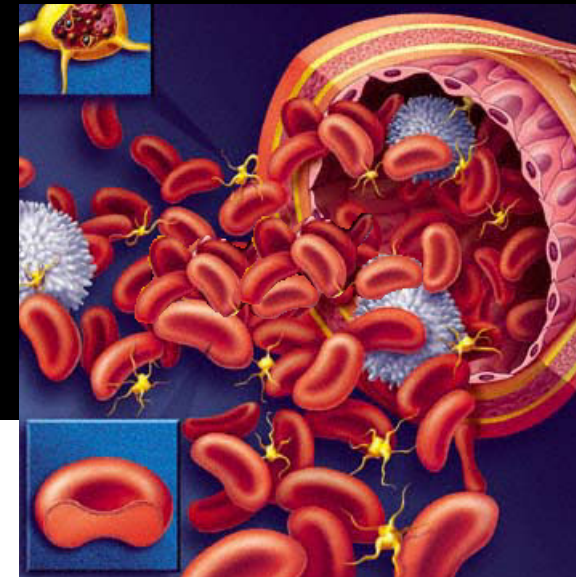
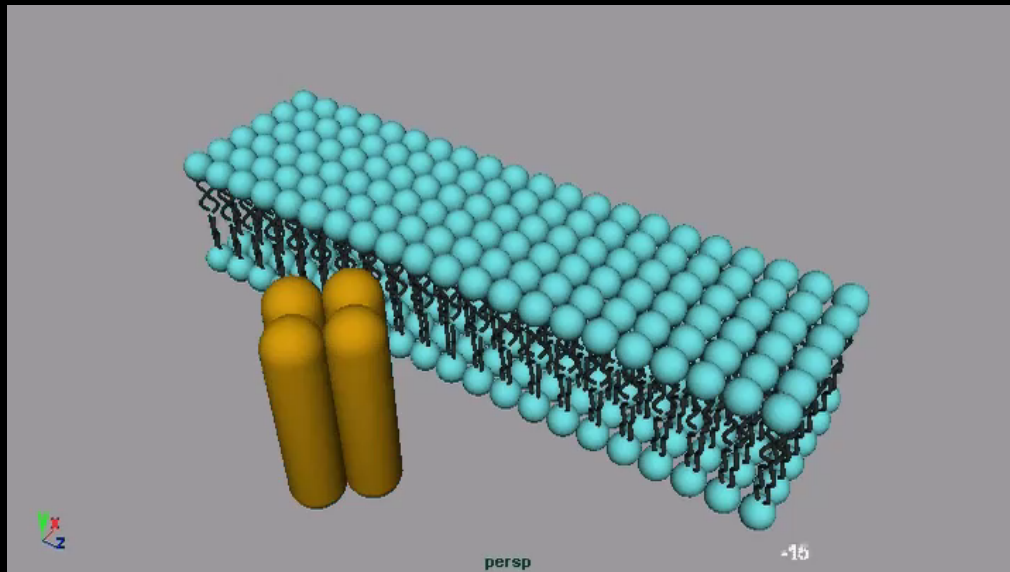
(2) What are the forces to slide and to bind

Blood

- **Membrane viscosity**

Proteins dragged through the lipid membrane

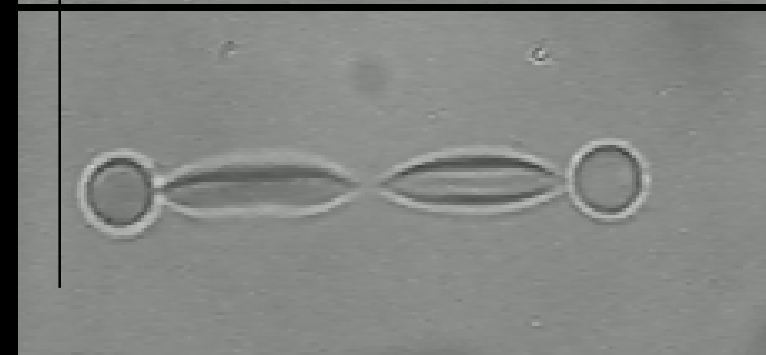
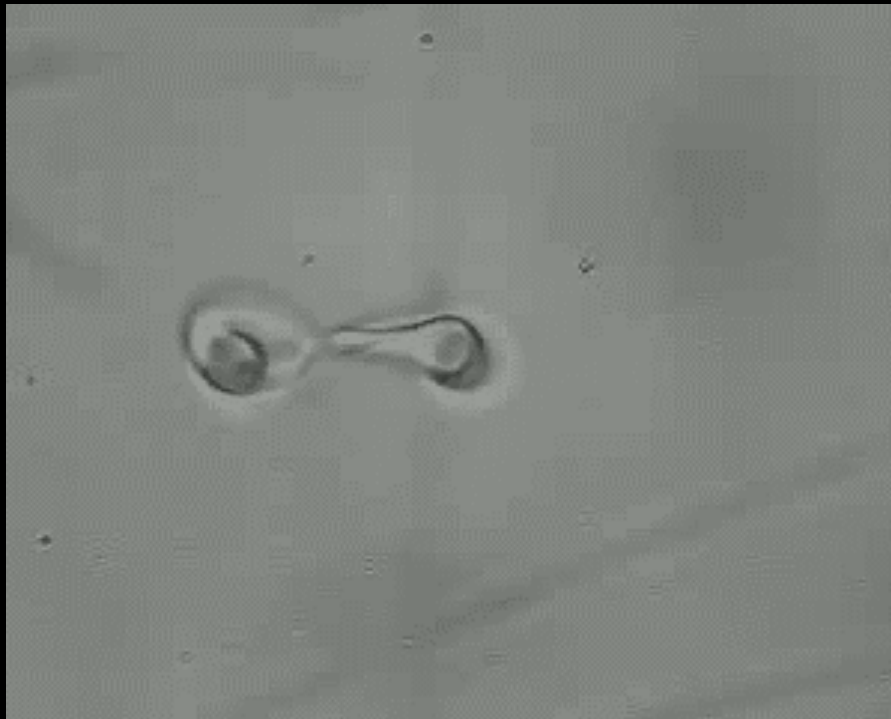
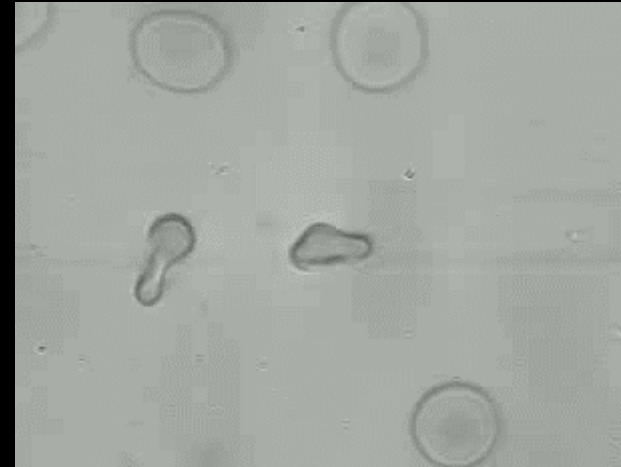
Viscous drag: $F \sim V$



- **Adhesion**

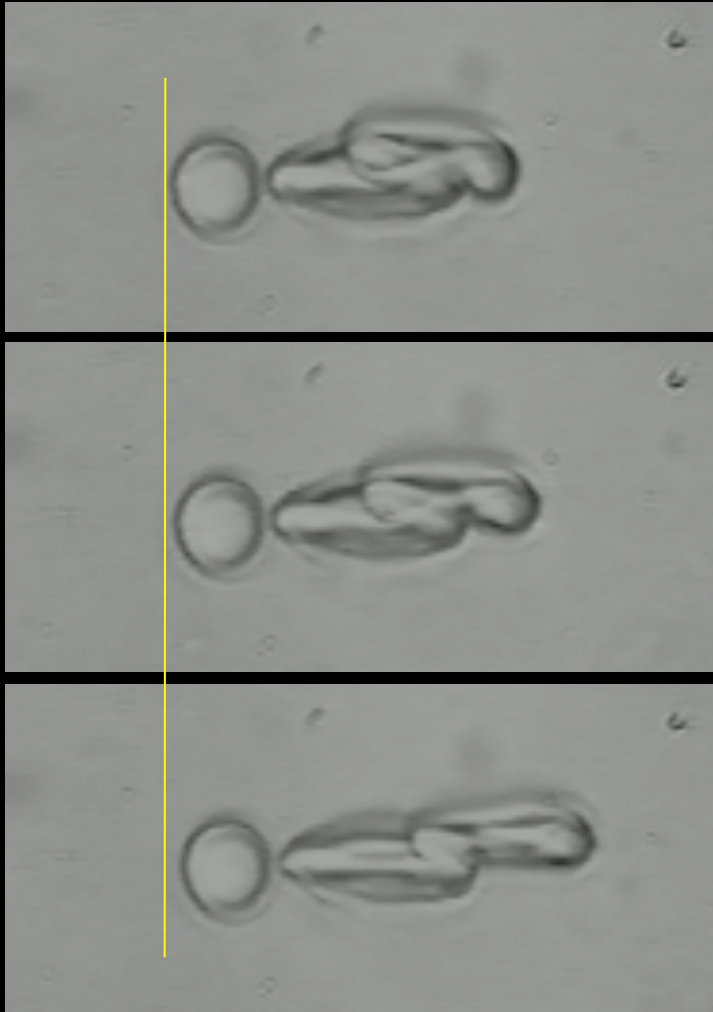
Binding proteins & protein network

RED BLOOD CELL ADHESION

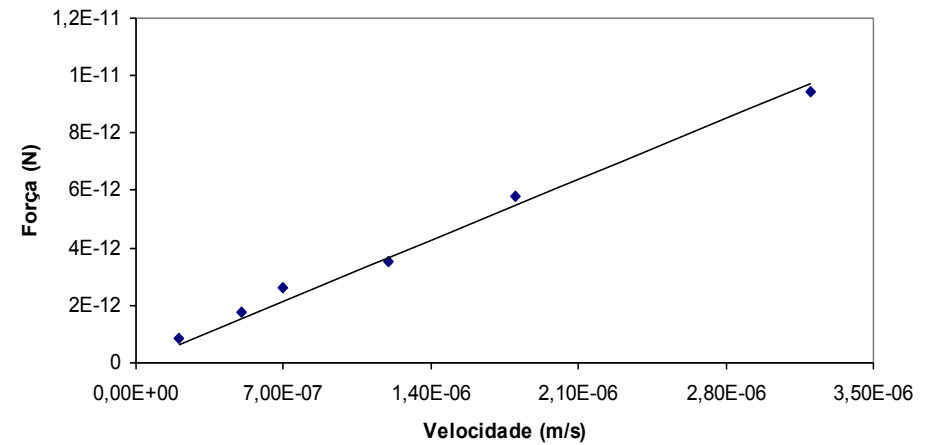


$$F_{\text{adhesion}} = 14 \text{ pN.}$$

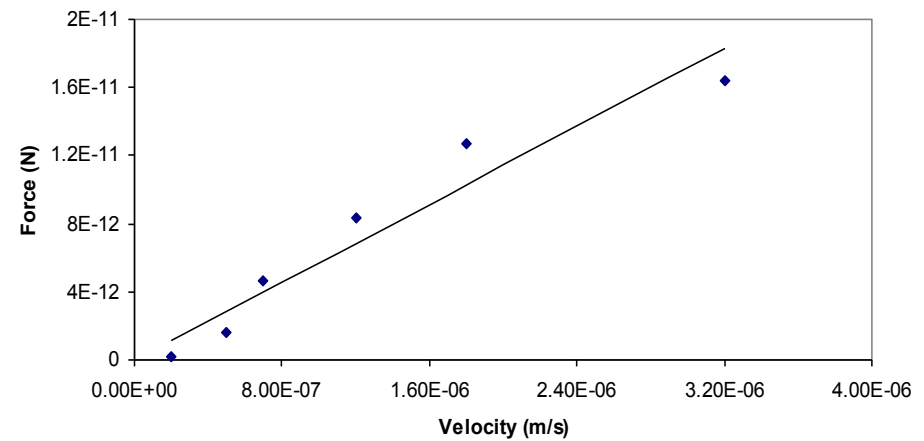
MEMBRANE VISCOSITY



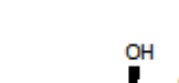
Controle



Antibody

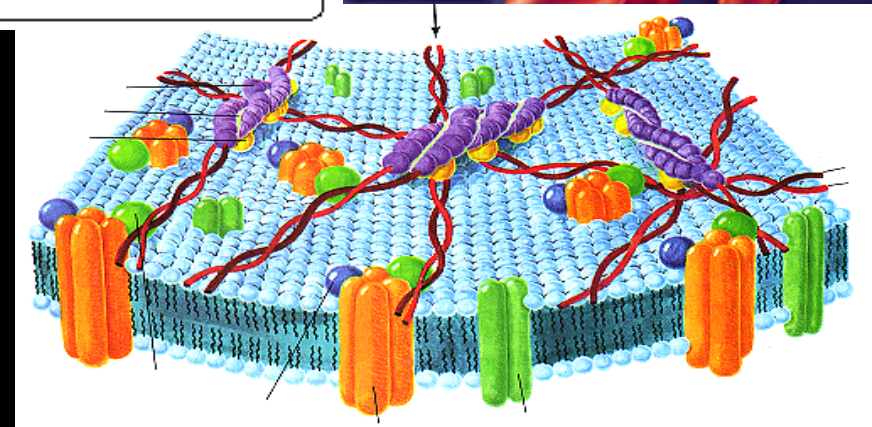
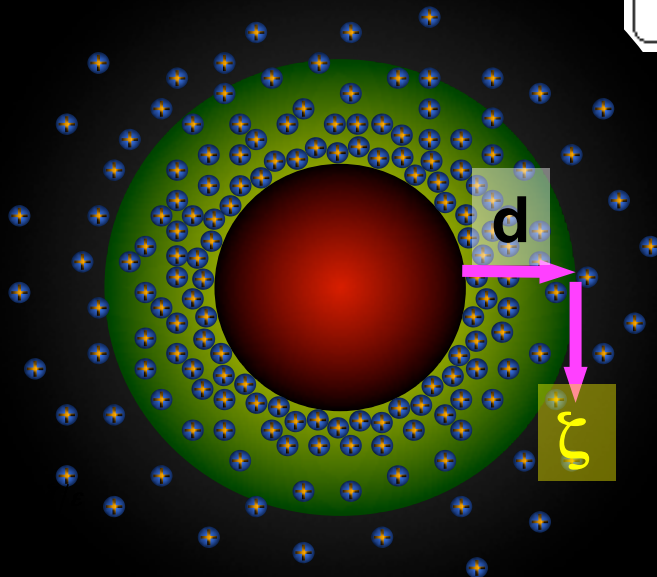
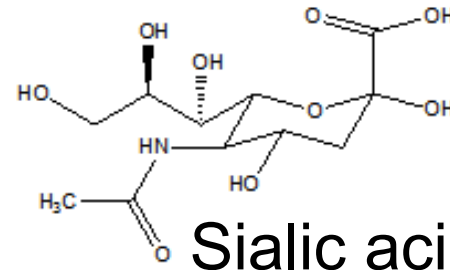


Red Blood Cells are - charged In electrolyte solution



The chemical structure of Sialic acid is shown. It consists of a pyranose ring with a hydroxyl group at C2, an acetamido group at C3, and a hydroxyl group at C4. Attached to C5 is a side chain containing a hydroxyl group, a hydroxymethyl group, and a carboxylic acid group.

Sialic acid

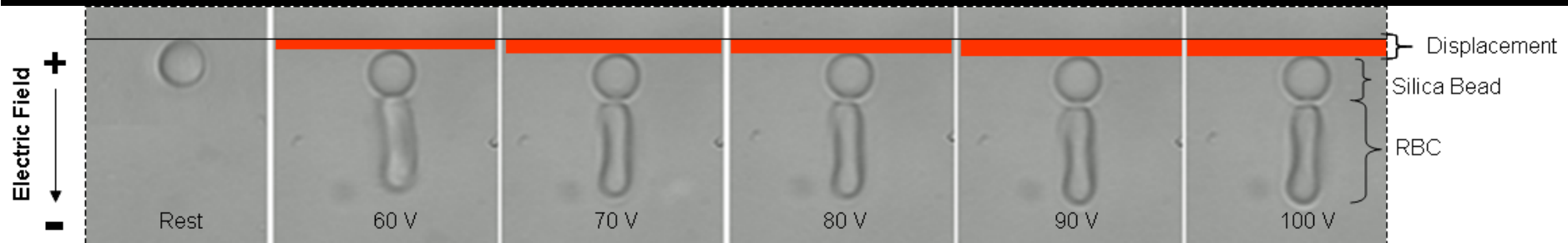


Counter ions cloud. Compact Layer d follows the movement rigidly.
ZETA POTENTIAL is the electric potential at shear interface.

COMPACT LAYER'S SIZE AND ZETA POTENTIAL

Compact Layer: force vs E

Silica bead displacement



Zeta potential: by terminal velocity.

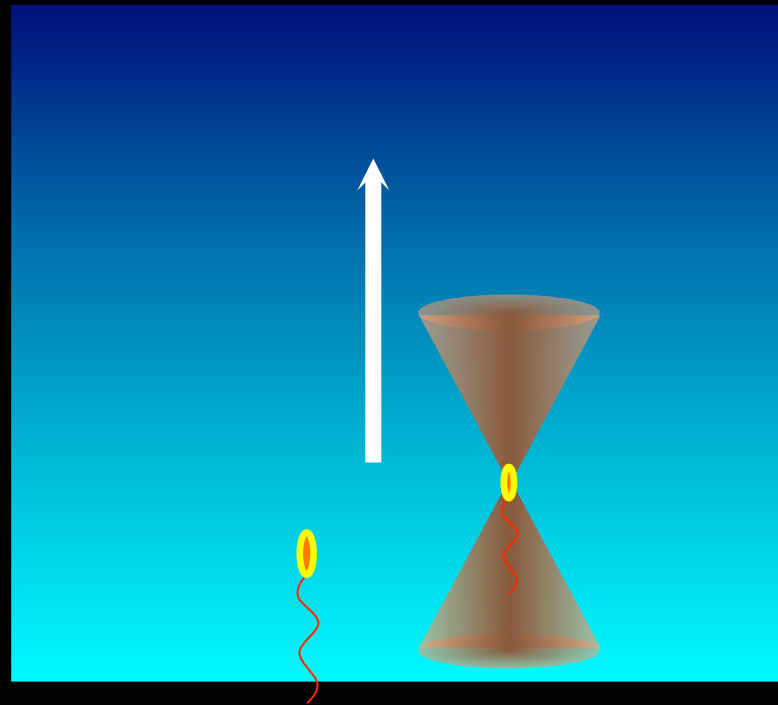
$$d = 0.85 \mu\text{m} \quad \zeta = -12.5 \text{ mV}$$

Results agrees with literature.

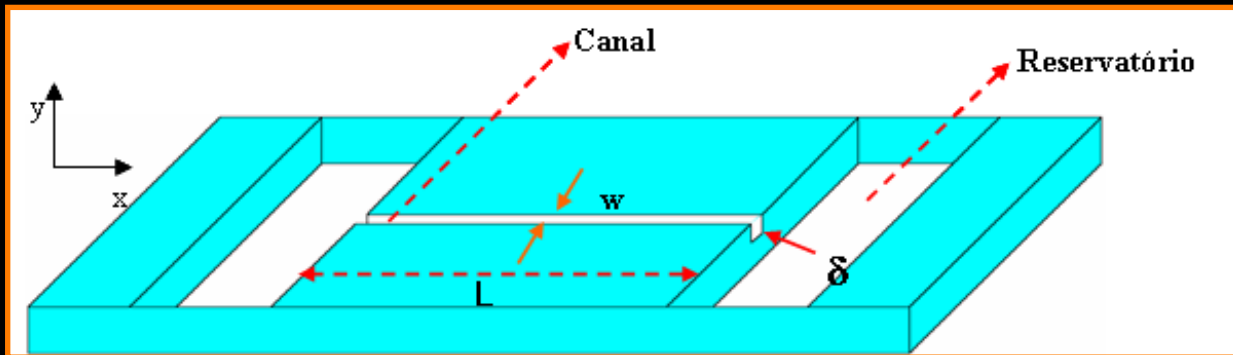
Optical Tweezers used for:

Chemotaxis: *Leishmania amazonensis*

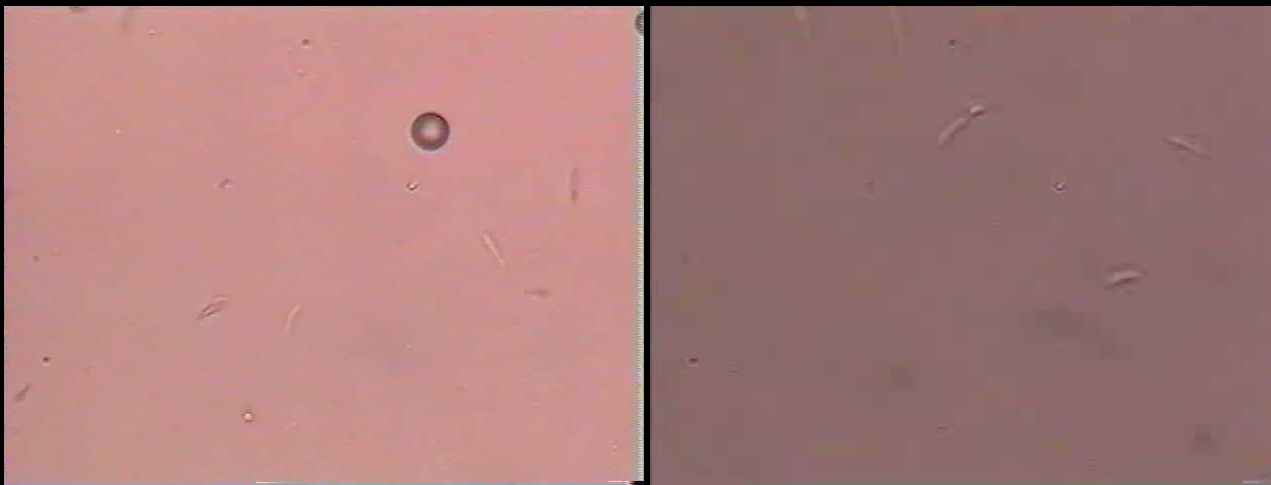
Chemotaxis: Microorganism responses to chemicals gradient



Chemotaxis – *Leishmania amazonensis*

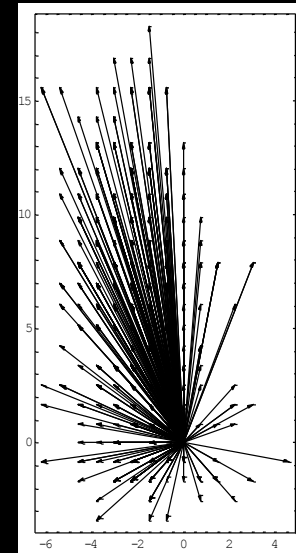


✓ Circular Movement

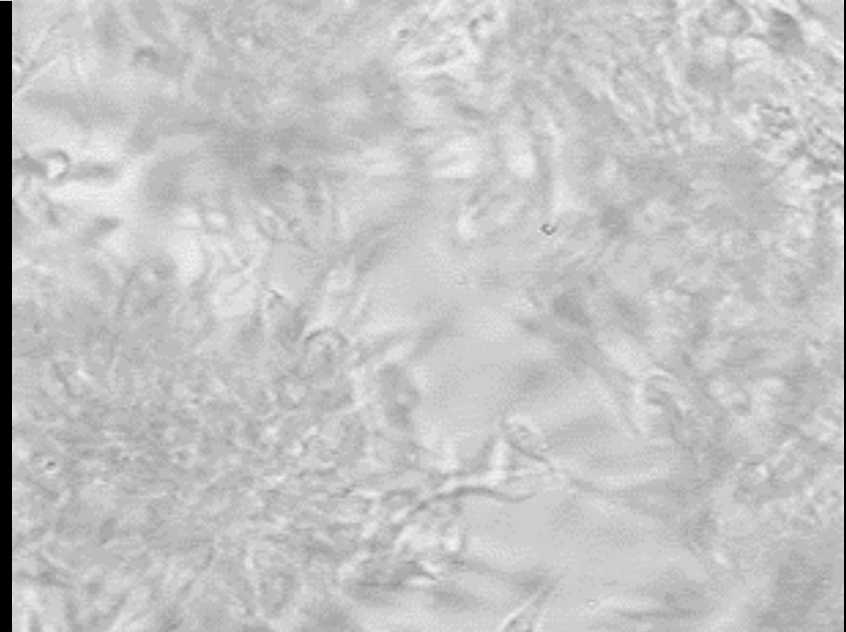
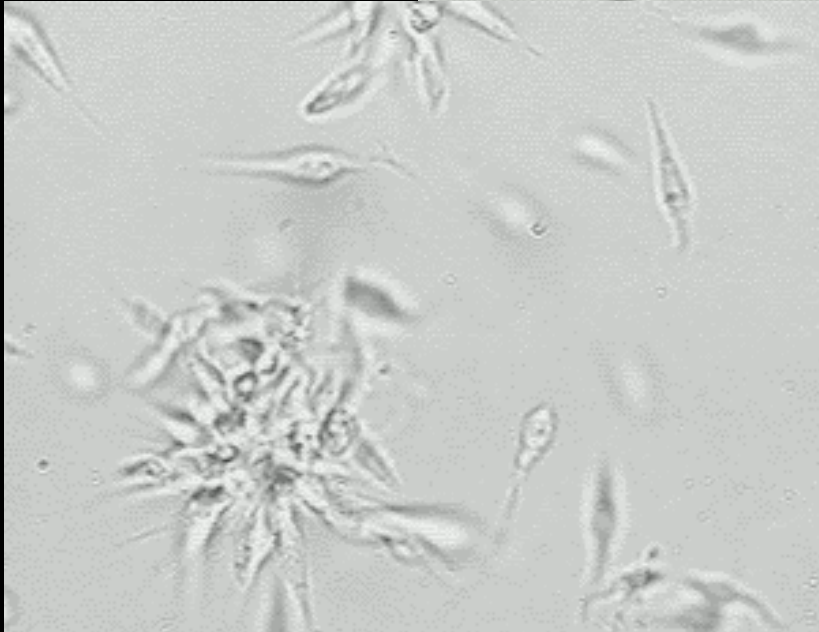
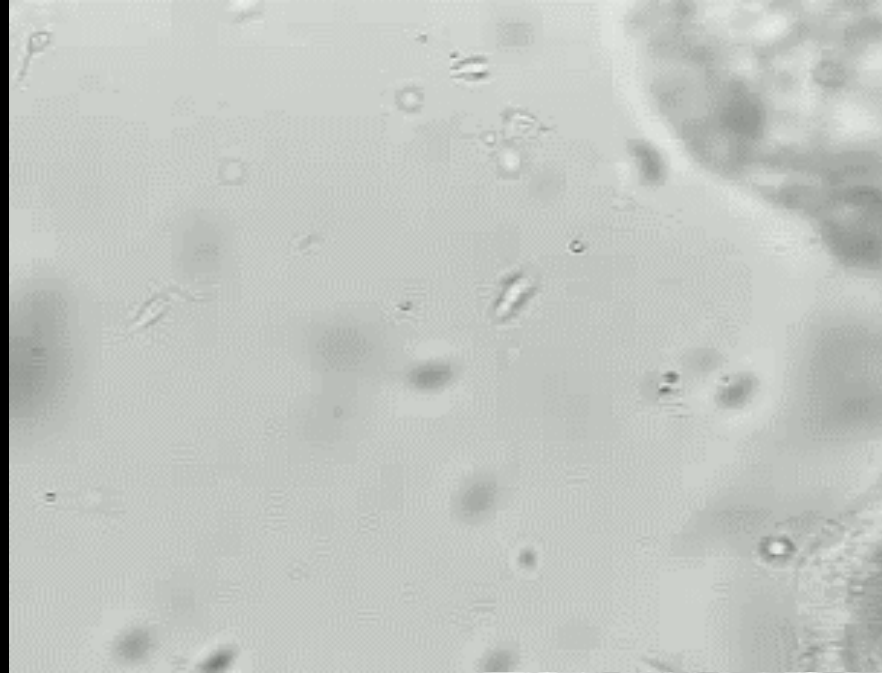


Glucose

Parasites



Trypanosoma Cruzi: Chagas Disease



Optical Tweezers



“Light Sucks”

Biomechanics $F \sim 200$ pN

Cell rheology

Cell manipulation

12 papers with hemocenter

+ Laser microdissection:

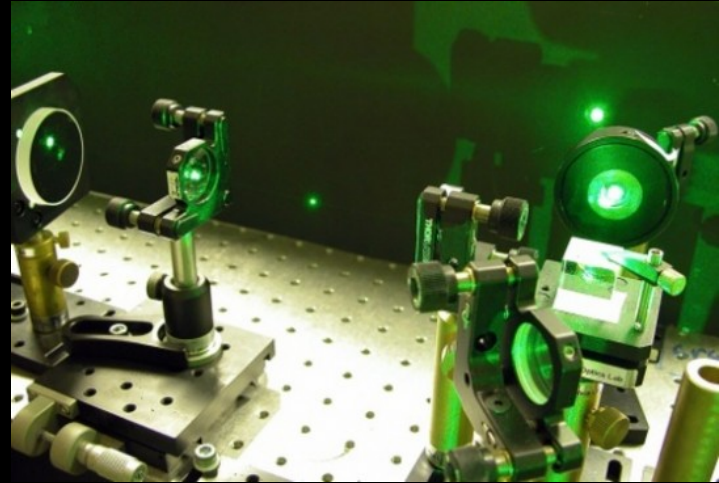
Controlled transfection;

Cell surgery;

Material collection;



Nasa: tractor beam no espaço sideral



Integrated techniques into inverted platform

3D + time-lapse capabilities

Single/multiphoton fluorescences: intensity spectral +

FLIM + PLIM + FCS + FRET + F...

SHG + THG + SFG

Raman + CARS + (CCARS?)

Tip-enhancement + conventional AFM

Optical Tweezers + laser cutting

Physiological controlled cell – temperature +
atmosphere



Thanks for the attention